

I. State Information

State Information

Plan Year

Federal Fiscal Year 2023

State Identification Numbers

Unique Entity ID

EIN/TIN 45-0309764

I. State Agency to be the Grantee for the PATH Grant

Agency Name North Dakota Department of Human Services

Organizational Unit Behavioral Health Division

Mailing Address 600 E Boulevard Ave

City Bismarck

Zip Code 58505

II. Authorized Representative for the PATH Grant

First Name Tami

Last Name Conrad

Agency Name North Dakota Department of Human Services - Behavioral Health Division

Mailing Address 600 E Boulevard Ave

City Bismarck

Zip Code 58505

Telephone 701-328-8733

Fax 701-328-8969

Email Address tconrad@nd.gov

III. Expenditure Period

From 7/1/2021

To 6/30/2022

IV. Date Submitted

NOTE: this field will be automatically populated when the application is submitted.

Submission Date 3/20/2023 9:31:18 PM

Revision Date 3/20/2023 9:31:33 PM

V. Contact Person Responsible for Application Submission

First Name Tami

Last Name Conrad

Telephone 701-328-8733

Fax 701-328-8969

Email Address tconrad@nd.gov

Footnotes:

I. State Information

Assurances - Non-Construction Programs

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As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the nineteen statutes or regulations specified in Appendix A of OPM's Standard for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee-3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to non-discrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Title II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetland pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C.

§470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).

14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §§2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984.
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.
19. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

HHS Assurances of Compliance (HHS 690)

ASSURANCE OF COMPLIANCE WITH TITLE VI OF THE CIVIL RIGHTS ACT OF 1964, SECTION 504 OF THE REHABILITATION ACT OF 1973, TITLE IX OF THE EDUCATION AMENDMENTS OF 1972, THE AGE DISCRIMINATION ACT OF 1975, AND SECTION 1557 OF THE AFFORDABLE CARE ACT

The Applicant provides this assurance in consideration of and for the purpose of obtaining Federal grants, loans, contracts, property, discounts or other Federal financial assistance from the U.S. Department of Health and Human Services.

THE APPLICANT HEREBY AGREES THAT IT WILL COMPLY WITH:

1. Title VI of the Civil Rights Act of 1964 (Pub. L. 88-352), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 80), to the end that, in accordance with Title VI of that Act and the Regulation, no person in the United States shall, on the ground of race, color, or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
2. Section 504 of the Rehabilitation Act of 1973 (Pub. L. 93-112), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 84), to the end that, in accordance with Section 504 of that Act and the Regulation, no otherwise qualified individual with a disability in the United States shall, solely by reason of her or his disability, be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
3. Title IX of the Education Amendments of 1972 (Pub. L. 92-318), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 86), to the end that, in accordance with Title IX and the Regulation, no person in the United States shall, on the basis of sex, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any education program or activity for which the Applicant receives Federal financial assistance from the Department.
4. The Age Discrimination Act of 1975 (Pub. L. 94-135), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 C.F.R. Part 91), to the end that, in accordance with the Act and the Regulation, no person in the United States shall, on the basis of age, be denied the benefits of, be excluded from participation in, or be subjected to discrimination under any program or activity for which the Applicant receives Federal financial assistance from the Department.
5. Section 1557 of the Affordable Care Act (Pub. L. 111-148), as amended, and all requirements imposed by or pursuant to the Regulation of the Department of Health and Human Services (45 CFR Part 92), to the end that, in accordance with Section 1557 and the Regulation, no person in the United States shall, on the ground of race, color, national origin, sex, age, or disability be excluded from participation in, be denied the benefits of, or be subjected to discrimination under any health program or activity for which the Applicant receives Federal financial assistance from the Department.

The Applicant agrees that compliance with this assurance constitutes a condition of continued receipt of Federal financial assistance, and that it is binding upon the Applicant, its successors, transferees and assignees for the period during which such assistance is provided. If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Applicant by the Department, this assurance shall obligate the Applicant, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Applicant for the period during which it retains ownership or possession of the property. The Applicant further recognizes and agrees that the United States shall have the right to seek judicial enforcement of this assurance.

The grantee, as the awardee organization, is legally and financially responsible for all aspects of this award including funds provided to sub-recipients in accordance with 45 CFR ? 75.351-75.352, Subrecipient monitoring and management.

Name

Pamela Sagness

Title

Executive Director, Behavioral Health

Organization

ND Dept. of Health & Human Services

Signature:

Date:

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

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1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standard or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
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Name

Pam Sagness

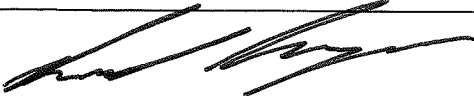
Title

Executive Director

Organization

ND Dept of Health & Human Services - Behavioral Health Division

Signature:



Date:

3-8-23

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

I. State Information

Certifications

1. Certification Regarding Debarment and Suspension

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 2 CFR part 180, and its principals:

- a. Agrees to comply with 2 CFR Part 180, Subpart C by administering each lower tier subaward or contract that exceeds \$25,000 as a "covered transaction" and verify each lower tier participant of a "covered transaction" under the award is not presently debarred or otherwise disqualified from participation in this federally assisted project by:
 - a. Checking the Exclusion Extract located on the System for Award Management (SAM) at <http://sam.gov>
 - b. Collecting a certification statement similar to paragraph (a)
 - c. Inserting a clause or condition in the covered transaction with the lower tier contract

2. Certification Regarding Drug-Free Workplace Requirements

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free workplace in accordance with 2 CFR Part 182b):

- a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's work-place and specifying the actions that will be taken against employees for violation of such prohibition;
- b. Establishing an ongoing drug-free awareness program to inform employees about--
 1. The dangers of drug abuse in the workplace;
 2. The grantee's policy of maintaining a drug-free workplace;
 3. Any available drug counseling, rehabilitation, and employee assistance programs; and
 4. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
- d. Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will--
 1. Abide by the terms of the statement; and
 2. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- e. Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- f. Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted?
 1. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

3. Certifications Regarding Lobbying

Per 45 CFR 75.215, Recipients are subject to the restrictions on lobbying as set forth in 45 CFR part 93. Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non- appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs. The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering

into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)
3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

4. Certification Regarding Program Fraud Civil Remedies Act (PFCRA) (31 U.S.C ? 3801- 3812)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

5. Certification Regarding Environmental Tobacco Smoke

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, daycare, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

By signing the certification, the undersigned certifies that the applicant organization will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act.

The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

Name

Pamela Sagness

Title

Executive Director, Behavioral Health

Organization

ND Dept. of Health & Human Services

Signature:

Date:

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

I. State Information

Certifications

1. Certification Regarding Debarment and Suspension

The undersigned (authorized official signing for the applicant organization) certifies to the best of his or her knowledge and belief, that the applicant, defined as the primary participant in accordance with 2 CFR part 180, and its principals:

- a. Agrees to comply with 2 CFR Part 180, Subpart C by administering each lower tier subaward or contract that exceeds \$25,000 as a "covered transaction" and verify each lower tier participant of a "covered transaction" under the award is not presently debarred or otherwise disqualified from participation in this federally assisted project by:
 - a. Checking the Exclusion Extract located on the System for Award Management (SAM) at <http://sam.gov>
 - b. Collecting a certification statement similar to paragraph (a)
 - c. Inserting a clause or condition in the covered transaction with the lower tier contract

2. Certification Regarding Drug-Free Workplace Requirements

The undersigned (authorized official signing for the applicant organization) certifies that the applicant will, or will continue to, provide a drug-free workplace in accordance with 2 CFR Part 182by:

- a. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession or use of a controlled substance is prohibited in the grantee's work-place and specifying the actions that will be taken against employees for violation of such prohibition;
- b. Establishing an ongoing drug-free awareness program to inform employees about--
 1. The dangers of drug abuse in the workplace;
 2. The grantee's policy of maintaining a drug-free workplace;
 3. Any available drug counseling, rehabilitation, and employee assistance programs; and
 4. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
- c. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a) above;
- d. Notifying the employee in the statement required by paragraph (a), above, that, as a condition of employment under the grant, the employee will--
 1. Abide by the terms of the statement; and
 2. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
- e. Notifying the agency in writing within ten calendar days after receiving notice under paragraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
- f. Taking one of the following actions, within 30 calendar days of receiving notice under paragraph (d) (2), with respect to any employee who is so convicted?
 1. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 2. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
- g. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs (a), (b), (c), (d), (e), and (f).

3. Certifications Regarding Lobbying

Per 45 CFR 75.215, Recipients are subject to the restrictions on lobbying as set forth in 45 CFR part 93. Title 31, United States Code, Section 1352, entitled "Limitation on use of appropriated funds to influence certain Federal contracting and financial transactions," generally prohibits recipients of Federal grants and cooperative agreements from using Federal (appropriated) funds for lobbying the Executive or Legislative Branches of the Federal Government in connection with a SPECIFIC grant or cooperative agreement. Section 1352 also requires that each person who requests or receives a Federal grant or cooperative agreement must disclose lobbying undertaken with non-Federal (non- appropriated) funds. These requirements apply to grants and cooperative agreements EXCEEDING \$100,000 in total costs. The undersigned (authorized official signing for the applicant organization) certifies, to the best of his or her knowledge and belief, that

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering

into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

2. If any funds other than Federally appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. (If needed, Standard Form-LLL, "Disclosure of Lobbying Activities," its instructions, and continuation sheet are included at the end of this application form.)
3. The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

4. Certification Regarding Program Fraud Civil Remedies Act (PFCRA) (31 U.S.C ? 3801- 3812)

The undersigned (authorized official signing for the applicant organization) certifies that the statements herein are true, complete, and accurate to the best of his or her knowledge, and that he or she is aware that any false, fictitious, or fraudulent statements or claims may subject him or her to criminal, civil, or administrative penalties. The undersigned agrees that the applicant organization will comply with the Public Health Service terms and conditions of award if a grant is awarded as a result of this application.

5. Certification Regarding Environmental Tobacco Smoke

Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, daycare, early childhood development services, education or library services to children under the age of 18, if the services are funded by Federal programs either directly or through State or local governments, by Federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such Federal funds. The law does not apply to children's services provided in private residence, portions of facilities used for inpatient drug or alcohol treatment, service providers whose sole source of applicable Federal funds is Medicare or Medicaid, or facilities where WIC coupons are redeemed.

Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible entity.

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The applicant organization agrees that it will require that the language of this certification be included in any subawards which contain provisions for children's services and that all subrecipients shall certify accordingly.

The Public Health Services strongly encourages all grant recipients to provide a smoke-free workplace and promote the non-use of tobacco products. This is consistent with the PHS mission to protect and advance the physical and mental health of the American people.

Name

Pam Sagness

Title

Executive Director

Organization

ND Dept of Health & Human Services - Behavioral Health Division

Signature:



Date:

3-8-23

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

I. State Information

Funding Agreement

FISCAL YEAR 2023

PROJECTS FOR ASSISTANCE IN TRANSITION FROM HOMELESSNESS (PATH) AGREEMENT

I hereby certify that the State/Territory of North Dakota agrees to the following:

Section 522(a). Amounts received under the PATH Formula Grant Program will be expended solely for making grants to political subdivisions of the State, and to nonprofit private entities (including community-based veterans organizations and other community organizations) for the purpose of providing the services specified in Section 522(b) to individuals who:

- Are suffering from serious mental illness; or
- Are suffering from serious mental illness and from a substance use disorder; and
- Are homeless or at imminent risk of becoming homeless.

Section 522(b). Entities receiving grants under the PATH Formula Grant Program will expend funds for the following services:

- Outreach;
- Screening and diagnostic treatment;
- Habilitation and rehabilitation;
- Community mental health;
- Alcohol or drug treatment;
- Staff training, including the training of individuals who work in shelters, mental health clinics, substance abuse programs, and other sites where homeless individuals require services;
- Case management services, including:
 - Preparing a plan for the provision of community mental health services to the eligible homeless individual involved, and reviewing such plan not less than once every 3 months;
 - Providing assistance in obtaining and coordinating social and maintenance services for the eligible homeless individuals, including services relating to daily living activities, personal financial planning, transportation services, and habilitation and rehabilitation services, prevocational and vocational services, and housing;
 - Providing assistance to the eligible homeless individual in obtaining income support services, including housing assistance, food stamps, and supplemental security income benefits;
 - Referring the eligible homeless individual for such other services as may be appropriate; and
 - Providing representative payee services in accordance with Section 1631(a) (2) of the Social Security Act if the eligible homeless individual is receiving aid under Title XVI of such act and if the applicant is designated by the Secretary to provide such services.
- Supportive and supervisory services in residential settings;
- Referrals for primary health services, job training, education services and relevant housing services;
- Housing services [subject to Section 522(h)(1)] including:
 - Minor renovation, expansion, and repair of housing;
 - Planning of housing;
 - Technical assistance in applying for housing assistance;
 - Improving the coordination of housing services;
 - Security deposits;
 - The costs associated with matching eligible homeless individuals with appropriate housing situations;
 - One-time rental payments to prevent eviction; and
- Other appropriate services, as determined by the Secretary.

Section 522(c). The State will make grants pursuant to Section 522(a) only to entities that have the capacity to provide, directly through arrangements, the services specified in Section 522(b), including coordinating the provision of services in order to meet the needs of eligible homeless individuals who are both mentally ill and suffering from a substance abuse disorder.

Section 522(d). In making grants to entities pursuant to Section 522(a), the State will give special consideration to entities with a demonstrated effectiveness in serving homeless veterans.

Section 522(e). The state agrees that grants pursuant to Section 522(a) will not be made to any entity that:

- Has a policy of excluding individuals from mental health services due to the existence or suspicion of a substance use disorder; or
- Has a policy of excluding individuals from substance use services due to the existence or suspicion of mental illness.

Section 522(f). Not more than four (4) percent of the payments received under the PATH Formula Grant Program will be expended for administrative expenses regarding the payments.

Section 522(h). The State agrees that not more than 20 percent of the payments will be expended for housing services under section 522(b)(10); and the payments will not be expended for the following:

- To support emergency shelters or construction of housing facilities;
- For inpatient psychiatric treatment costs or inpatient substance use treatment costs; or
- To make cash payments to intended recipients of mental health or substance use services.

Section 523(a). The State will make available, directly or through donations from public or private entities, non-Federal contributions toward such costs in an amount that is not less than \$1 for each \$3 of funds provided in such payments. The amount of non-Federal contributions shall be determined in accordance with Section 523(b).

Section 523(c). The State will not require the entities to which grants are provided pursuant to Section 522(a) to provide non-Federal contributions in excess of the non-Federal contributions described in Section 523(a).

Section 526. The State has attached hereto a Statement that does the following:

- Identifies existing programs providing services and housing to eligible homeless individuals and gaps in the delivery systems of such programs;
- Includes a plan for providing services and housing to eligible homeless individuals, which:
 - Describes the coordinated and comprehensive means of providing services and housing to homeless individuals; and
 - Includes documentation that suitable housing for eligible homeless individuals will accompany the provision of services to such individuals;
- Describes the source of the non-Federal contributions described in Section 523;
- Contains assurances that the non-Federal contributions described in Section 523 will be available at the beginning of the grant period;
- Describes any voucher system that may be used to carry out this part; and
- Contains such other information or assurances as the Secretary may reasonably require.

Section 527(a)(1), (2), and (3). The State has attached hereto a description of the intended use of PATH Formula grant amounts for which the State is applying. This description shall:

- Identify the geographic areas within the State in which the greatest numbers of homeless individuals with a need for mental health, substance use, and housing services are located; and
- Provide information relating to the program and activities to be supported and services to be provided, including information relating to coordinating such programs and activities with any similar programs and activities of public and private entities.

Section 527(a)(4). The description of intended use for the fiscal year of the amounts for which the State is applying will be revised throughout the year as may be necessary to reflect substantial changes in the programs and activities assisted by the State pursuant to the PATH Formula Grant Program.

Section 527(b). In developing and carrying out the description required in Section 527(a), the State will provide public notice with respect to the description (including any revisions) and such opportunities as may be necessary to provide interested clients, such as family members, consumers and mental health, substance use, and housing agencies, an opportunity to present comments and recommendations with respect to the description.

Section 527(c)(1)(2). The services to be provided pursuant to the description of the intended use required in Section 527(a), have been considered in the preparation of, have been included in, and are consistent with the State Plan for Comprehensive Community Mental Health Services under P.L. 102-321.

Section 528(a). The State will, by January 31, 2024, prepare and submit a report providing such information as is necessary for the following:

- To secure a record and description of the purposes for which amounts received under the PATH Formula Grant Program were expended during fiscal year 2023 and of the recipients of such amounts; and
- To determine whether such amounts were expended in accordance with the provisions of Part C – PATH.

Section 528(b). The State further agrees that it will make copies of the reports described in Section 528(a) available for public inspection.

Section 529. Payments may not be made unless the State agreements are made through certification from the chief executive officer of the State.

Charitable Choice Provisions:

The State will comply, as applicable, with the Substance Abuse and Mental Health Services Administration's (SAMHSA) Charitable Choice statutes codified at sections 581-584 and 1955 of the Public Health Service Act (42 U.S.C. §§290kk, et seq., and 300x-65) and their governing regulations at 42 C.F.R. part 54 and 54a respectively.

Governor/Designee Name	Pamela Sagness
Title	Executive Director, Behavioral Health
Organization	ND Dept. of Health & Human Services

Signature:

Date:

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

I. State Information

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FISCAL YEAR 2023

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Governor/Designee Name

Pam Sagnes

Title

Executive Director

Organization

ND Dept of Health & Human Services - Behavioral Health Division

Signature:



Date: 3-8-23

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

I. State Information

Disclosure of Lobbying Activities

Are there lobbying activities pursuant to 31 U.S.C. 1352 to be disclosed? Yes No

To View Standard Form LLL, Click the link below (This form is OPTIONAL).

[Standard Form LLL \(click here\)](#)

Name: Pamela Sagness

Title: Executive Director, Behavioral Health

Organization: ND Dept. of Health & Human Services

Signature: _____

Date Signed: _____

mm/dd/yyyy

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

I. State Information

State PATH Regions

Name	Description	Actions
Region III: Lake Region Human Service Center	Lake Region Human Service Center region coverage includes the counties of Benson, Cavalier, Eddy, Ramsey, Rolette and Towner counties. The region also includes part of the Spirit Lake Nation Reservation and the Turtle Mountain Reservation.	
Region IV: Northeast Human Service Center	Region IV is served by Northeast Human Service Center, which is located in Grand Forks, ND. The regions consist of a four county area (Pembina, Walsh, Nelson, Grand Forks). The Grand Forks Air Force Base is located in the region. The population of the region is 89,772 according to the U.S. Census Bureau, Annual Estimates of Population.	
Region V: Southeast Human Service Center	Region V is served by Southeast Human Service Center, which is located in Fargo, ND. The region consists of a six county area (Steele, Traill, Cass, Ransom, Sargent, Richland). The population of the region is 217,233 as of July 1, 2019 according to the U.S. Census Bureau, annual estimates of population. .	
Region VI: South Central Human Service Center	Region VI is served by South Central Human Service Center, which is located in Jamestown, ND. The region consist of a nine county area (Wells, Foster, Griggs, Stutsman, Barnes, Logan, LaMoure, McIntosh, Dickey). The region is home to the North Dakota State Hospital. The population of the region is 53,659 as of July 1, 2019, according to the U.S. Census Bureau, Annual Population Estimates.	

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

II. Executive Summary

1. State Summary Narrative

Narrative Question:

Provide an overview of the state's PATH program with key points that are expanded upon in the State Level Sections of WebBGAS.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

1. State Summary Narrative

The PATH Program in North Dakota is a service provided by the North Dakota Department of Human Services at regional human service centers. By placing the PATH Coordinator positions in the regional human service centers, the PATH Coordinator is working within the primary community mental health center for each designated region. The PATH Coordinator in each region is the sole position for carrying out PATH activities. PATH activities include outreach, case management, screening and diagnostic treatment services, rehabilitation services, community mental health services, mental health medical services, alcohol and drug treatment services, supportive and supervisory services in a residential setting and one-time rental assistance. Services are person-centered and recovery focused. Federal funding is used to partially fund PATH Coordinator positions who provides services to the target population throughout the region. PATH Coordinators carry a caseload of between five and 35 PATH-eligible clients.

North Dakota's population as of July 1, 2021, was 774,948, with a 15.8% increase since 2020. According to North Dakota's Housing Finance Agency's Executive Director, affordable housing continues to be a concern statewide in which homelessness is often a result. There are no homeless shelters in the two major western North Dakota communities and the slowdown in the oil industry has led to an increase in unemployment.

The most recent Point-In-Time (PIT) Survey conducted in January 2020 estimated 541 people were experiencing homelessness on that night in North Dakota. This information has been questioned as inaccurate by agencies providing homeless services throughout the state, due to lack of consistency in the PIT count. The North Dakota Continuum of Care Coordinator continues to work with homeless services to increase accuracy of the count.

Coordination of homeless services continues to be a critical service in North Dakota. The PATH Coordinator is the primary source of homeless case management in many of the most rural areas of North Dakota. All PATH Coordinators actively take part in the Continuum of Care and are active members in each of the coalitions. Through the regional homeless coalitions, the PATH Coordinator develops effective professional relationships with other individuals and organizations that provide the array of essential services and supports to address the extensive needs of the homeless population. Even though resources are extremely limited, this close working relationship with other service providers throughout their regions helps to ensure that homeless individuals receive needed services in a timely manner. All PATH Coordinators also work with Veterans Administration personnel to coordinate services for homeless veterans.

The PATH Program has utilized the Homeless Management Information System (HMIS) since 2019. PATH Coordinators are employees of the ND Department of Human Services- Field Services Division. This Division manages the regional Human Service Centers, located throughout the state. All Human

Service Centers are health care providers and provide a variety of mental health and substance use disorder treatment within the regional community they serve.

North Dakota has trained PATH Coordinators in the Supplemental Security Income/Social Security Disability Insurance (SSDI/SSI) Outreach, Access, Recovery (SOAR) program. It is a goal in 2022 to continue to train new PATH staff in SOAR in each region. Two PATH Coordinators will be attending the SOAR Leadership Academy in 2022. These individuals will serve as SOAR local leads in their communities and work with the SOAR State Team Lead to establish stakeholder groups and expand SOAR throughout North Dakota.

Throughout the time period of this application, two of the North Dakota PATH programs (Northwest Human Service Center and Lake Region Human Service Center) have been unable to provide services due to staff shortage. Federal PATH funding will not be distributed to these programs for this funding period. North Dakota will reassessing areas for highest need of PATH services and determine funding of programs prior to the next application period.

II. Executive Summary

2. State Budget

A budget and budget narrative that includes the state's use of PATH funds are required. The budget can be entered directly into WebBGAS, or you can upload the budget as an attachment. The Budget Narrative is a separate document that must be uploaded as an Attachment. It must provide a justification for the basis of each proposed cost in the budget and how that cost was calculated. The proposed costs must be reasonable, allowable, allocable, and necessary for the supported activity.

* Indicates a required field

Category	Federal Dollars	Matched Dollars	Total Dollars	Comments
a. Personnel	\$ 0	\$ 0	\$ 0	<input type="text"/>
No Data Available				

Category	Percentage	Federal Dollars *	Matched Dollars *	Total Dollars	Comments
b. Fringe Benefits	0.00 %	\$ 0.00	\$ 0.00	\$ 0.00	<input type="text"/>

Category	Federal Dollars	Matched Dollars	Total Dollars	Comments
c. Travel	\$ 0.00	\$ 0.00	\$ 0.00	<input type="text"/>
No Data Available				

Category	Federal Dollars	Matched Dollars	Total Dollars	Comments
d. Equipment	\$ 0.00	\$ 0.00	\$ 0.00	<input type="text"/>
No Data Available				

Category	Federal Dollars	Matched Dollars	Total Dollars	Comments
e. Supplies	\$ 0.00	\$ 0.00	\$ 0.00	<input type="text"/>
No Data Available				

f1. Contractual (IUPs)	\$ 300,000.00	\$ 151,339.00	\$ 451,339.00	<input type="text"/>
f2. Contractual (State)	\$ 0.00	\$ 0.00	\$ 0.00	<input type="text"/>
No Data Available				

Category	Percentage	Federal Dollars	Matched Dollars	Total Dollars	Comments
<i>PATH housing costs are limited to 20% and can only be PATH allowable costs. Personnel who are considered to be a housing cost should be entered here and not included in the Personnel line item. For questions, call your Program Officer.</i>					
g1. Housing (IUPs)	0.00 %	\$ 0.00	\$ 0.00	\$ 0.00	<input type="text"/>
g2. Housing (State)		\$ 0.00	\$ 0.00	\$ 0.00	<input type="text"/>
No Data Available					

Category	Federal Dollars	Matched Dollars	Total Dollars	Comments
h. Construction (non-allowable)				
i. Other	\$ 0.00	\$ 0.00	\$ 0.00	<input type="text"/>
No Data Available				

j. Total Direct Charges (Sum of a-i minus g1)	\$ 300,000.00	\$ 151,339.00	\$ 451,339.00	
---	---------------	---------------	---------------	--

Category	Federal Dollars *	Matched Dollars *	Total Dollars	Comments
k. Indirect Costs (Administrative Costs)	\$ 0.00	\$ 0.00	\$ 0.00	<input type="text"/>
l. Grand Total (Sum of j and k)	\$ 300,000.00	\$ 151,339.00	\$ 451,339.00	
Allocation of Federal PATH Funds	\$ 300,000	\$ 100,000	\$ 400,000	

Source(s) of Match Dollars for State Funds:

State Budget

	Federal funds salary	General funds salary	Federal funds fringe benefits	General funds fringe benefits	Total
LRHSC	73,750	5,566	0	28,558	107,874
NEHSC	73,750	11,130	0	29,200	114,080
SEHSC	73,750	5,514	0	28,734	107,998
SCHSC	73,750	8,645	0	28,992	111,387
		30,855		115,484	
Administrative Costs	5000				
Total grant budget	300,000				
Total matching fund	146,339				

Footnotes:

II. Executive Summary

3. Intended Use Plans

Expenditure Period Start Date:

Expenditure Period End Date:

The state can either enter all the IUPs and associated budgets as in prior years, or they may allow IUP users to enter their own information into WebBGAS. For more information on allowing IUP users to enter their own details, please see the tutorial under the Training Tab in WebBGAS that instructs states and IUP providers on this new process.

Summary Counts -										
IUPs Listed: 4 Submitted: 4 Rejected: 0 Accepted: 4 Open Revisions: 0										
Primary IUP Provider	Provider Type	Geographic Service Area	Allocations	Matching Funds	Estimated # to Contact	Estimated # to Enroll	# Trained in SOAR	# Assisted through SOAR	Status	Actions
Lake Region Human Service Center ~	Community mental health center	Region III: Lake Region Human Service Center	\$75,000.00	\$35,374.00	50	35	2	10	Accepted	Profile Description Budget Print
Northeast Human Service Center ~	Community mental health center	Region IV: Northeast Human Service Center	\$75,000.00	\$41,580.00	50	40	1	5	Accepted	Profile Description Budget Print
South Central Human Service Center ~	Community mental health center	Region VI: South Central Human Service Center	\$75,000.00	\$38,887.00	50	32	1	2	Accepted	Profile Description Budget Print
Southeast Human Service Center ~	Community mental health center	Region V: Southeast Human Service Center	\$75,000.00	\$35,498.00	50	35	1	5	Accepted	Profile Description Budget Print
Grand Total			\$300,000.00	\$151,339.00	200	142	5	22		

* IUP with sub-IUPs

~ IUP modified by the state

[Add New Primary IUP Provider](#)

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

III. State Level Information

A. Operational Definitions

Term	Definition
Individual Experiencing Homelessness:	The state PATH-related operational definition for an individual experiencing homelessness must be as least restrictive as defined by the PHS Act Section 330(h)(5)(A): "an individual who lacks housing (without regard to whether the individual is a member of a family), including an individual whose primary residence during the night is a supervised public or private facility that provides temporary living accommodations, and an individual who is a resident in transitional housing."
Imminent Risk of Becoming Homeless:	The definition of imminent risk of homelessness commonly includes one or more of the following criteria: doubled-up living arrangements where the individual's name is not on a lease, living in a condemned building without a place to move, having arrears in rent/utility payments, receiving an eviction notice without a place to move, living in temporary or transitional housing that carries time limits, and/or being discharged from a health care or criminal justice institution without a place to live.
Serious Mental Illness:	Refers to adults, 18 years of age or older, with a diagnosable mental disorder of such severity and duration as to result in functional impairment that substantially interferes with or limits major life activities.
Co-occurring Disorders:	Refers to individuals who have at least one serious mental illness and a substance use disorder, where the mental disorder and substance use disorder can be diagnosed independently of each other.

I certify that the response to this Narrative Question in the FY 2022 PATH Application is still accurate. Yes No

If No, please upload documentation with changes for FY 2023.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

III. State Level Information

B. Collaboration

Narrative Question:

Describe how the state will implement a collaborative relationship with the department/office responsible for providing housing to qualifying residents. Describe how PATH funds supporting care and treatment of the homeless or marginally housed seriously mentally ill population will be served such that there is coordination of service provision to address needs impacted by serious mental illness and provision of permanent housing for those being served with grant funds is prioritized and assured.

I certify that the response to this Narrative Question in the FY 2022 PATH Application is still accurate. Yes No

If No, please upload documentation with changes for FY 2023.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

B. Collaboration

North Dakota federal PATH funds support part of the salaries of the PATH Coordinators in six of the eight regional human services centers, located across the state. Workforce issues resulted in two regional human service centers to be unable to provide PATH services during this time. PATH coordinators refer individuals to services within the regional human service centers and work with local and state funding to provide needed housing services and referrals for other services. PATH funding is not being used to provide any housing services at this time in North Dakota. If housing services are provided to PATH clients, state or local funding is used to meet the need. PATH Coordinators are members of the North Dakota Coalition of Homeless People organization. This allows statewide representation of PATH coordinators in local, regional, and state organizations.

III. State Level Information

C. Veterans

Narrative Question:

Describe how the state gives consideration in awarding PATH funds to entities with demonstrated effectiveness in serving veterans experiencing homelessness.

I certify that the response to this Narrative Question in the FY 2022 PATH Application is still accurate. Yes No

If No, please upload documentation with changes for FY 2023.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

B. Veterans

North Dakota PATH staff collaborate with various veterans' agencies and coalitions throughout the state. PATH staff work closely and make referrals to the HUD VASH workers in North Dakota. The ND Department of Health and Human Services, which oversees regional human service centers across the state, is a member of the North Dakota Cares (ND CARES) Coalition [Home | ND Cares](#). The Coalition includes a broad spectrum of more than 40 service providers and partners whose work touches the lives of Service Members, Veterans, Families, and Survivors. Members share a common interest in strengthening an accessible network of support across the state, even though each entity retains authority over its own programs and services. The purpose of the ND Cares coalition is to resolve barriers or gaps in services to ensure those who have served, their families and survivors receive the behavior health care and assistance they need.

The PATH Coordinators work closely with and make referrals to the SSVF (Supportive Services for Veterans Families) workers around the state. The Community Action programs and PATH Coordinators are members of regional homeless coalitions and through those regional coalitions work together to respond to the needs of veterans who are homeless at the local level.

North Dakota is focused to expand collaboration with veterans' services in the state and increase PATH services to veterans in the state.

III. State Level Information

D. Alignment with PATH Goals

Narrative Question:

Describe how the services to be provided using PATH funds will target outreach and case management as priority services, and maximize serving the most vulnerable adults who are literally and chronically homeless.

I certify that the response to this Narrative Question in the FY 2022 PATH Application is still accurate. Yes No

If No, please upload documentation with changes for FY 2023.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

III. State Level Information

E. Alignment with State Comprehensive MH Services Plan

Narrative Question:

Describe how the services to be provided using PATH funds are consistent with the State Comprehensive Mental Health Services Plans.

I certify that the response to this Narrative Question in the FY 2022 PATH Application is still accurate. Yes No

If No, please upload documentation with changes for FY 2023.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

F. Alignment with State Comprehensive Mental Health Services Plan

State Mental Health Structure:

The Behavioral Health Division is a part of the North Dakota Department of Health and Human Services. The Division serves as the State Mental Health Authority (SMHA), State Substance Abuse Authority (SSA), and the State Opioid Treatment Authority (SOTA). The Behavioral Health Division (NDCC 50-06-01.4) is a policy division responsible for reviewing and identifying service needs and activities in the state's behavioral health system in an effort to ensure health and safety, access to services, and quality of services. The Division is also responsible for establishing quality assurance standards for the licensure of substance use disorder program services and facilities and providing policy leadership in partnership with public and private entities. The Behavioral Health Division and the Field Services Division merged into the Behavioral Health Division in fall 2022. This combined the behavioral health policy and service delivery systems.

Behavioral health is an essential part of overall health in which prevention works, treatment is effective, and people recover. The North Dakota behavioral health system is built to support people – at both the individual and community levels.

In summary, the goal of the state's behavioral health system is to ensure there is access to quality services across the continuum of care supporting the behavioral health of North Dakotans across the lifespan.

Evidence Based Practices:

- North Dakota has implemented several evidence-based and best practice including Integrated Dual Disorder Treatment, Matrix, Motivational Interviewing, Treatment Collaborative for Traumatized Youth (TCTY), and Peer Support Services. The regional human service centers provide Assertive Community Treatment and peer support services. The division requires all contracted entities to provide services that are evidence-based and best practice.

Key Initiatives:

The Behavioral Health Division's three key initiatives are:

- 1. Support the full continuum of care.**
- 2. Increase community-based services.**
- 3. Prevent criminal justice involvement for individuals with a behavioral health condition**

These are accomplished through promotion of the following principles:

- Behavioral health is health;
 - Stopping the shame and stigma around behavioral health
 - Ensuring integration and parity of health and behavioral health
- Supporting the full continuum of care across prevention, early intervention, treatment and recovery
- Ensuring person-centered care to
 - Meet people where they are
 - Engage in individual and family driven care
 - Provide trauma-informed services
 - Provide services focused on recovery
- Ensure behavioral health services and supports are available for individuals in the community to avoid the institutionalizing and criminalizing of behavioral health conditions
- Be efficient and effective to
 - Leverage best practices
 - Monitor and evaluate outcomes

- Measure the return on investment
- Develop, recruit, and retain a competent behavioral health workforce

Key Support Services/Programs:

The Behavioral Health Division directly funds or aids in the support of the following services in North Dakota:

- **Free Through Recovery:** a community based behavioral health program designed to increase recovery support services to individuals involved with the criminal justice system who have behavioral health concerns.
- **SUD Voucher:** established to address barriers to treatment and increase the ability of people to access treatment and services for substance use disorders. Focusing on underserved areas and programs, increasing the provision of evidence-based services, and ensuring reporting on process and outcome measures.
- **Peer Support:** an evidence based practiced utilizing individuals with lived experienced and specialized training to assist others in their recovery. During the 2019 legislative session North Dakota lawmakers authorized the Behavioral Health Division to create a Peer Support Specialist Certification, which establishes a minimum set of standards for the profession and allows for potential reimbursement.
- **Community Connect:** community based behavioral health program will be designed to support children and families focusing on keeping individuals out of the child welfare system.
- **Suicide Prevention and Awareness:** Reducing the occurrence of suicide through prevention, awareness, and access to community-based services and supports is being woven strategically through programming within the division and the Department of Human Services. The Division is working with federal and state stakeholders to assist with implementing 9-8-8.
- **Community Supports:** The Division is working to establish more behavioral health community supports across the states, including permanent supportive housing programs.

PATH Services in North Dakota

PATH Coordinators are employed at the regional human service centers and supervised by the regional director of community- based services for persons with mental illness. Primary responsibilities for each PATH Coordinator is to provide the case management service focused on assisting and facilitating long-term homeless people with gaining and maintaining eligibility for mainstream supports such as; Supplemental Security Income (SSI), Social Security Disability Insurance (SSDI), Supplemental Nutritional Assistance Program (SNAP), Temporary Assistance for Needy Families Program (TANF) Medicaid, and Medicare. PATH Coordinators will also assist their clients to access other supportive services such as representative payees or guardians when this level of support is appropriate. Because case management is a primary function of the PATH Coordinator and each PATH Coordinator is a member of regional homeless coalitions, the PATH program is in perfect alignment with the goal that people who are homeless are also connected to supportive services. Through their involvement with regional homeless coalitions, the PATH Coordinator is able to effectively network, stay updated on any service and support options that are available, and connect their clients to those services.

The PATH Coordinator's location within the regional human service center is also ideal for ensuring that the PATH program is aligned many of the programs explained as key support services and programs within the state. North Dakota has provided several of these programs through state funds. PATH Coordinators are well versed in the multiple state programs that may be of benefit to clients that they serve. The State PATH contact provides education and information to PATH Coordinators regarding additional services that are not provided within the human service centers.

III. State Level Information

F. Process for Providing Public Notice

Narrative Question:

Describe the process for providing public notice to allow interested parties (e.g., family members; individuals who are PATH-eligible; mental health, substance use disorder, and housing agencies; the general public) to review the proposed use of PATH funds including any subsequent revisions to the application. Describe opportunities for these parties to present comments and recommendations prior to submission of the state PATH application to SAMHSA.

I certify that the response to this Narrative Question in the FY 2022 PATH Application is still accurate. Yes No

If No, please upload documentation with changes for FY 2023.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

III. State Level Information

G. Programmatic and Financial Oversight

Narrative Question:

Describe how the state will provide necessary programmatic and financial oversight of PATH-supported providers, such as site visits, evaluation of performance goals, audits, etc. In cases where the state provides funds through intermediary organizations (i.e., county agencies, regional behavioral health authorities), describe how these organizations will monitor the use of PATH funds.

I certify that the response to this Narrative Question in the FY 2022 PATH Application is still accurate. Yes No

If No, please upload documentation with changes for FY 2023.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

F. Programmatic and Financial Oversight 2023

The PATH program oversight consists of two components: financial and programmatic. The Department of Health and Human Services' Behavioral Health Division is responsible to ensure funds are distributed to the human service centers PATH programs and that funds are expended in the manner described in the grant application. State audits are conducted annually on Federal grant funds.

The State PATH Contact assumes primary responsibility for the general oversight of a PATH program's activities. This position will provide oversight of the PATH program and its activities by:

- Facilitate monthly meetings with the PATH Coordinators, supervisors of the PATH Coordinators, and Human Service Center Regional and Clinical Directors to share information and:
 1. Provide assistance as needed and requested
 2. Review the status of each component of the Implementation Plan
 3. Review the data collected
- Conduct annual and as needed on-site visits to observe PATH program activities in the regions.
- Encourage and, through PATH grant funds, support PATH Coordinators' involvement in regional and state coalitions
- Identify training opportunities and facilitate the participation of PATH Coordinators, in cooperation with regional supervisors and human services center directors, in those training opportunities.

III. State Level Information

H. Selection of PATH Local-Area Providers

Narrative Question:

Describe the method(s) used to allocate PATH funds to areas and providers with the greatest number of individuals who experience homelessness with serious mental illnesses or co-occurring substance use disorders (i.e., through annual competitions, distribution by formula, data driven or other means).

I certify that the response to this Narrative Question in the FY 2022 PATH Application is still accurate. Yes No

If No, please upload documentation with changes for FY 2023.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

III. State Level Information

I. Location of Individuals with Serious Mental Illnesses who are Experiencing Homelessness

Narrative Question:

Indicate the number of individuals with serious mental illnesses experiencing homelessness by each region or geographic area of the entire state. Indicate how the numbers were derived and where the selected providers are located on a map.

I certify that the response to this Narrative Question in the FY 2022 PATH Application is still accurate. Yes No

If No, please upload documentation with changes for FY 2023.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

III. State Level Information

J. Matching Funds

Narrative Question:

Describe the sources of the required PATH match contributions and provide assurances that these contributions will be available at the beginning of the grant period.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

The matching funds required of the PATH federal grant is provided through state general funds. This funding is allocated yearly through the Behavioral Health Division, through the Human Service Center that employs PATH staff. State general funds are used to pay for part of each PATH staff salary and fringe benefits. North Dakota PATH programs utilize federal funds for PATH staff salaries.

III. State Level Information

K. Other Designated Fundings

Narrative Question:

Indicate whether the mental health block grant, substance abuse block grant, or general revenue funds are designated specifically for serving people who experience homelessness and have serious mental illnesses.

I certify that the response to this Narrative Question in the FY 2022 PATH Application is still accurate. Yes No

If No, please upload documentation with changes for FY 2023.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

K. Other Designated Funding

Currently, neither mental health block grant nor substance abuse block grant funding is specifically earmarked for the PATH population.

State General Funds are used, along with federal grant funds, to fund the total salary and benefits of staff who provide PATH services. State general funds are also used to pay for rent, telephone, office supplies and travel expenses for PATH staff within each region. State general funds are also used to fund rental deposits, first month's rent and other needs for individuals who are eligible for PATH services.

III. State Level Information

L. Data

Narrative Question:

Describe the state's and providers' participation in HMIS and describe plans for continued training and how the state will support new local-area providers. For any providers not fully participating in HMIS, please include a transition plan with an accompanying timeline for collecting all PATH data in HMIS.

I certify that the response to this Narrative Question in the FY 2022 PATH Application is still accurate. Yes No

If No, please upload documentation with changes for FY 2023.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

K. Data

All PATH funded services are provided by the PATH Coordinators. The PATH Coordinators are employees of the North Dakota Department of Human Services at six of the regional human service centers. The regional human service centers are both a health care provider and a provider of substance use disorder treatment services.

All PATH Coordinators are currently trained in the use of the Homeless Management Information System (HMIS). North Dakota PATH Coordinators have been submitting client data and services provided into the HMIS system beginning July 1, 2019. North Dakota data will be greatly improved and more accurate than in the past, due to the implementation of HMIS in the state.

III. State Level Information

M. Supplemental Security Income/Social Security Disability Insurance (SSI/SSDI) Outreach, Access, Recovery (SOAR)

Narrative Question:

Describe how the state encourages provider staff to be trained in SOAR. Indicate the number of PATH providers who have at least one trained SOAR staff. If the state does not use SOAR, describe state efforts to ensure client applications for mainstream benefits are completed, reviewed, and a determination made in a timely manner.

I certify that the response to this Narrative Question in the FY 2022 PATH Application is still accurate. Yes No

If No, please upload documentation with changes for FY 2023.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

M. SSI/SSDI Outreach, Access and Recovery (SOAR)

The PATH State Lead currently serves as the SOAR State Lead and has attended the SOAR Leadership Academy. North Dakota PATH coordinators are required to complete training and utilize the model to assist individuals with applying for social security benefits. An initial SOAR stakeholders meeting was held in February 2023 to educate non-state agencies about the program. The state is seeing more interest in the program and the goal in 2023 is to build local SOAR stakeholders groups. SOAR Local Leads will assist the SOAR State Lead in building stakeholder groups to expand the use of SOAR throughout the state.

III. State Level Information

N. PATH Eligibility and Enrollment

Narrative Question:

Describe how PATH eligibility is determined, when enrollment occurs, and how eligibility is documented.

I certify that the response to this Narrative Question in the FY 2022 PATH Application is still accurate. Yes No

If No, please upload documentation with changes for FY 2023.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes:

PATH Reported Activities

Charitable Choice for PATH

Does your state use PATH funds to fund religiously-affiliated providers to provide substance use treatment services? Yes No

If "Yes" is selected please list providers in text box below and complete the rest of the table

Expenditure Period Start Date: Expenditure Period End Date:

Notice to Program Beneficiaries - Check all that apply

- Used model notice provided in final regulation.
- Used notice developed by State (please attach a copy to the Report).
- State has disseminated notice to religious organizations that are providers.
- State requires these religious organizations to give notice to all potential beneficiaries.

Referrals to Alternative Services - Check all that apply

- State has developed specific referral system for this requirement.
- State has incorporated this requirement into existing referral system(s).
- SAMHSA's Treatment Facility Locator is used to help identify providers.
- Other networks and information systems are used to help identify providers.
- State maintains record of referrals made by religious organizations that are providers.
- Enter total number of referrals necessitated by religious objection to other substance abuse providers ("alternative providers"), as defined above, made in previous fiscal year. Provide total only; no information on specific referrals required.

Brief description (one paragraph) of any training for local governments and faith-based and community organizations on these requirements.

FY 2023 PATH FOA Catalog No.: 93.150 FOA No.: SM-21-F2 Approved: 02/23/2022

Footnotes: