



Developmental Disabilities Division

Home and Community Based (HCB) Setting Provider Survey Results

This document contains a list of questions used in the Provider Survey and the corresponding responses to determine compliance with the CMS new rules of HCB settings. Surveys were sent to all thirty-one DD licensed providers who provided HCB services to answer questions on each of their residential and non-residential waiver settings. The DD Division received a 100% return response from the Provider Survey. Data was received on 913 residential and non-residential settings, which is reflected in this document.

The questions were based on criterion provided in the CMS guidance and toolkit, focusing on location, characteristics that have the potential for isolating, and identifying settings that are presumed to have qualities of an institution.

DD Division reviewed each providers' responses to all survey questions about residential and non-residential settings, utilizing them for an initial determination of compliance. DD Division reached out to Providers and Regional Human Service Centers to obtain additional clarifying information where the responses were not clear, appeared incomplete, or inconsistent.

Subsequently, Navigant Consulting reviewed each provider's survey responses for each setting and written responses to DD Division follow-up questions. Navigant further refined the survey analysis by focusing on a subset of questions that best indicated whether each setting facilitated community integration or created an isolating environment. Some survey questions were not considered in the final analysis or were given lesser weight because the distribution of responses indicated that some providers interpreted the question differently than others, causing inconsistency in the data. For example, responses to the question about whether individuals without disabilities reside at the same location revealed that some providers responded with the client's apartment in mind while others responded with the entire building or neighborhood in mind.

Overall, based on the further information gathered (which also included reviewing plans) and in conjunction with the consumer surveys some non-compliant responses within the raw data collected, were later determined to be compliant indicating that in fact experiences and requirements were present.

Residential Summary

Region the setting is located in	Response Percent	Response Count
Region 1-Willison	2.9%	18
Region 2-Minot	9.1%	56
Region 3-Devils Lake	6.8%	42
Region 4-Grand Forks/Grafton	19.2%	118
Region 5-Fargo	13.8%	85
Region 6-Jamestown	15.9%	98
Region 7-Bismarck	25.2%	155
Region 8-Dickinson	7.1%	44
Grand Total		616

Type of setting	Response Percent	Response Count
Congregate Care	.5%	3
Family Support Services (FCOIII)	1.8%	11
ISLA	79.3%	488
MSLA	8.1%	50
SLA	6.2%	38
TCLF	4.1%	25
Grand Total		615

Type of home	Response Percent	Response Count
Apartment	72.7%	448
Duplex	5.4%	33
Group home	6.5%	40
Housing Complex	.2%	1
Mobile home	.8%	5
Single family dwelling	13.8%	85
Twin home	.6%	4
Grand Total		616

Who owns the building/home	Response Percent	Response Count
Client/family	4.5%	28
DD Provider	15.3%	94
Housing Authority	15.1%	93
LSTC	.5%	3
Private Landlord	64.3%	396
Unknown	.3%	2
Grand Total		616

Do the consumers have a lease with who owns the building/home	Response Percent	Response Count
No	4.9%	30
Yes	95.1%	582
Grand Total		612

Do the consumers have frequent interaction with the community	Response Percent	Response Count
No	.5%	3
Yes	99.5%	611
Grand Total		614

Is the setting located in a building that is also a publicly or privately operated facility that provides inpatient institutional treatment	Response Percent	Response Count
No	99.8%	615
Yes	.2%	1
Grand Total		616

Is the setting in a building on the grounds of, or immediately adjacent to a public institution	Response Percent	Response Count
No	99.4%	612
Yes	.6%	4
Grand Total		616

Is the setting designed specifically for people with disabilities, and often even for people with a certain type of disability	Response Percent	Response Count
No	89.6%	551
Yes	10.4%	64
Grand Total		615

Note: Considered in analysis but a “No” response is not necessarily an indicator of isolation

Do you have multiple settings co-located and operationally related that congregate a large number of people with disabilities together and provide for significant shared programming and staff	Response Percent	Response Count
No	87.2%	536
Yes	12.8%	79
Grand Total		615

Note: Providers interpreted this question differently. Analysis applied only to provider-owned settings indicating that a large number of consumers are congregated such that their ability to interact with the broader community is limited. Assessed the environmental characteristics of these settings to review their level of integration and access to the greater community to determine if they isolated

Is the setting in the community among other private residences and retail businesses	Response Percent	Response Count
No	.5%	3
Yes	99.5%	613
Grand Total		616

The following survey questions were not used in the analysis because providers responded inconsistently, indicating that they interpreted the question differently than was intended, which yielded the responses unusable.

Question not used
How many consumers with disabilities are served by this provider at this location-do we want this
Are there any other individuals without disabilities that reside at the location
Are the consumers in the setting primarily or exclusively people with disabilities and on-site staff provides many services to them
Is the setting designed to provide people with disabilities multiple types of services and activities on-site; including housing, day services, medical, behavioral and therapeutic services, and/or social and recreational activities

Non-Residential Summary

Region the setting is located in	Response Percent	Response Count
Region 1-Willison	3.0%	9
Region 2-Minot	13.8%	41
Region 3-Devils Lake	4.4%	13
Region 4-Grand Forks/Grafton	18.5%	55
Region 5-Fargo	37.3%	111
Region 6-Jamestown	4.0%	12
Region 7-Bismarck	13.5%	40
Region 8-Dickinson	5.4%	16
Grand Total		297

Is the setting Facility or Non-Facility based	Response Percent	Response Count
Facility based	15.8%	47
Non-facility based	84.2%	250
Grand Total		297

Does the service occur in a residential setting	Response Percent	Response Count
No	66.7%	30
Yes	33.3%	15
Grand Total		45

Note: Facility based only

Do the consumers have frequent interaction with the community	Response Percent	Response Count
No	3.4%	10
Yes	96.6%	286
Grand Total		296

Is the setting located in a building that is also a publicly or privately operated facility that provides inpatient institutional treatment	Response Percent	Response Count
No	80%	36
Yes	20%	9
Grand Total		45

Note: Facility based only

Is the setting located in a building on the grounds of, or immediately adjacent to a public institution	Response Percent	Response Count
No	95.6%	43
Yes	4.4%	2
Grand Total		45

Note: Facility based only

Do you have multiple settings co-located and operationally related that congregate a large number of people with disabilities together and provide for significant shared programming and staff	Response Percent	Response Count
No	88.9%	40
Yes	11.1%	5
Grand Total		45

Note: Facility based only

Note: Assessed the environmental characteristics of these settings to review their level of integration and access to the greater community to determine if they isolated

Is the setting in the community among other private residence, retail business	Response Percent	Response Count
No	4.4%	2
Yes	95.6%	43
Grand Total		45

Note: Facility based only

The following survey questions were not used in the analysis because providers responded inconsistently, indicating that they interpreted the question differently than was intended, which yielded the responses unusable.

Question not used
On average what is the hours per week spent in the community
How many consumers with disabilities are served by this provider at this location
Are there other people without disabilities who are paid employees in this setting but who are not direct support professionals of the provider agency. Note: Facility based only
Are the consumers in the setting primarily or exclusively people with disabilities and on-site staff provides many services to them. Note: Facility based only
Is the setting designed to provide people with disabilities multiple types of services and activities on-site, including housing, day services, medical, behavioral and therapeutic services, and/or social and recreational activities. Note: Facility based only
Is the setting designed specifically for people with disabilities, and often even for people with a certain type of disability. Note: Facility based only