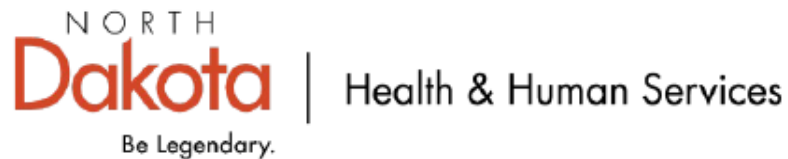


**January 2026**

**QUALIFIED SERVICE PROVIDER (QSP)**

# **Individual Handbook**



## **Enrollment Procedures & Standards**

QSP Handbooks are available [online](#).

QSPs must have a copy of the most current handbook on file.

*This handbook includes the requirements to enroll as a provider for services to public pay individuals.*

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## How to Enroll and QSP HUB

Access the QSP Enrollment Portal: [www.hhs.nd.gov/qsp](http://www.hhs.nd.gov/qsp)

Need Help with the application process?

For help or questions completing the application, contact QSP HUB.

What is QSP HUB?

The HUB is a central source for support and information for QSPs.

Service provided by the QSP HUB?

Support, educational tools and training opportunities to walk QSPs through all stages of the QSP process.

What can the QSP HUB help me with?

One-n-one support by email, phone or video conferencing to help with:

- Enrollment: Assistance with application process
- Revalidation: Support for maintaining your provider status
- QSP Web Portal: Help navigating and using the portal effectively

Guidance and referrals on where to go for help with:

- Electronic visit verification (EVV) (Therap)
- Documentation best practices
- Billing processes
- Business operations and processes

Other QSP HUB resources:

- Library of easy-to- understand educational material and guides
- Training events/ opportunities for both individual and agency QSPs
- Create a mentoring network for QSPs and QSPs agencies
- Create awareness of HCBS policy changes and updates

How to contact QSP HUB:

- Website <https://www.NDQSPhub.org>
- Email [info@NDQSPhub.org](mailto:info@NDQSPhub.org)
- Call 701-777-3432
- Facebook <https://www.facebook.com/NDQSPHub>

## Home and Community Based Services Information

**Purpose of HCBS:** The primary goal of HCBS is to offer essential and appropriate services that help individuals sustain themselves in their homes and communities. These services aim to delay or prevent the need for institutional care, providing a more individualized and community-based approach to care. Assessments are led by a Case Manager to determine HCBS services are appropriate.

**Assessment Process:** Individuals interested in HCBS programs undergo assessments conducted by Case Managers. These assessments evaluate both functional and financial eligibility to determine if individuals qualify for the services.

**Functional Eligibility:** Evaluates the individual's ability to perform various activities of daily living (ADLs) and instrumental activities of daily living (IADLs). Functional assessments help identify the level of assistance an individual may require to live independently.

**Financial Eligibility:** Considers the individual's financial situation to determine their eligibility for HCBS programs. Criteria may include income, assets or other financial resources.

Once an individual is found eligible, the following law applies:

*ND Century Code 50-06.2, Effective July 1, 1989:*

- Each person eligible for services, or the person's representative, must be free to choose among available qualified service providers (QSP's) that offer competitively priced services, and
- The Case Manager must inform each eligible individual of the available QSPs in their service area to provide the service(s) needed by the eligible aged or disabled individual.

**If you only want to work with private pay individuals – people who pay you for services with their own money, then you do not have to enroll as a QSP.**

**Individual QSPs may be eligible to provide the following services:**

Adult Day Care, Chore, Companionship, Environmental Modification, Extended Personal Care, Homemaker, Non-Medical Transportation - Escort, Non-Medical Transportation – Driver, Nurse Education, Personal Care, Respite Care, Respite in an Adult Foster Care, Respite Home Care, Supervision and Transition Coordination.

## Definitions

- Abuse: If someone hurts or exploits a vulnerable adult physically, mentally, sexually or financially on purpose.
- Activities of Daily Living: Personal tasks, performed daily that involve bathing, dressing, toileting, transferring from bed or chair, continence, eating/feeding and mobility inside the home.
- Adult Day Care (ADC): A service offered within a group setting designed to meet the needs of functionally impaired adults. It is a structured, comprehensive service that provides a variety of social and related support services in a protective setting during a part of the day. Adult Day care programs shall operate a minimum of three hours per day up to a maximum of ten hours per day to assist its participants to remain in the community, enabling families and other caregivers to continue caring for an impaired member at home.
- Adult Foster Care (AFC)- Adult Foster Care services - means the provision of food, shelter, security and safety, guidance, and comfort on a twenty-four-hour per day basis, in the home of the caregiver, to a person age eighteen or older, who is unable, neglects, or refuses to provide for the person's own care.
- Aggregator: Integrates and audits claims, letting payers connect adjudication and visit verification data in real time. The aggregator system works with EVV data from both Therap and third-party vendors.
- Care Coordination: Improving access to HCBS services by promoting health equity, health literacy and cultural humility in person-centered planning to waiver eligible individuals in collaboration with Tribal partners, cultural and community-based entities.
- Case Manager (CM): A case manager manages services for elderly and disabled people and finds resources and services they need to stay in the community. The CM helps the individual to make a plan of care for service based on an assessment.
- Chore: Infrequent Tasks that help an individual stay in the home. These tasks include heavy housework and periodic cleaning, professional extermination, snow removal, and emergency response systems. The task must be the responsibility of the individual and not the landlord.
- Client: An individual who meets the eligibility requirements and receives services from the Department.
- Client Share (also known as Service Fee, Cost Share, or Recipient Liability): Amount a client/individual must pay for the cost of services. This amount is deducted from the QSPs payment before payment is issued. The QSP must collect payment due from the individual.
- Community Transition (CT): Helps individuals transitioning from an institution or another provider-operated living arrangement (including skilled nursing facility, adult residential, adult foster care, basic care, and assisted living) to a living arrangement in a private residence where the client is directly responsible for his/her own living expenses and needs non-recurring set-up expenses.

- Companionship (COMP): Non-medical care, supervision and socialization for waiver recipients who live alone or with someone who can't or isn't required to provide the services. A companion can help an individual prepare meals, do laundry or go shopping, but they don't actually perform these services. This service does not include hands-on nursing care. Light housekeeping tasks may also be provided while supervising and caring for the individual. Services must follow a therapeutic goal.
- Competency Level: Skills and abilities required to do something well or to a required standard.
- Cost Share: (see Client Share)
- Critical Incidents: Any actual or alleged event or situation that created a significant risk of substantial or serious harm to the physical or mental health, safety, or wellbeing of any client receiving HCBS.
- Department: The Department of Health and Human Services (HHS)
- Documentation: A written record of when a service started and ended, what service was given and who provided the service.
- Electronic Visit Verification (EVV): A billing and payment system QSPs use to track the start and stop times of services they provide to individuals in their homes. A mobile device application used on a phone, tablet, laptop or fixed object device, verifying services are provided at an authorized location and records the time in and out.
- Endorsement: A task that requires special skill and approval.
  - Global Endorsement: Apply to all individuals requiring this endorsement.
  - Client Specific Endorsement: Require client specific instruction for each individual client for whom you provide care requiring this endorsement.
- Environmental Modification (EM): Making physical changes to the home to ensure the client's health, welfare and safety or allowing them to function more independently. The client or a family member must own the home.
- Exploitation: The act or process of a provider using the income, assets, or property of a resident for monetary or personal benefit, profit, gain, or gratification.
- Extended Personal Care Nurse/Non-Nurse (EPCS): The purpose of Extended Personal Care Services (EPCS) is to complete tasks that are medical in nature and specific to the needs of an eligible individual. The Nurse Educator may perform the medical task or if determined appropriate will provide client-specific training to non-nurse QSPs on medical tasks. During training the Nurse Educator determines competency of non-nurse QSP(s) ability to perform EPCS task. s. This does not include tasks eligible under personal cares (PC).
- Financial Exploitation: Use or receipt of services provided by the vulnerable adult without just compensation, the taking, acceptance, misappropriation, or misuse of property or resources of



a vulnerable adult by means of undue influence, breach of a fiduciary relationship, deception, harassment, criminal coercion, theft or other unlawful/improper means.

- Fraud: A knowing misrepresentation of the truth or concealment of a material fact to induce another to act to his or her detriment. Includes any intentional or deliberate act to deprive another of property or money by guile, deception, or other unfair means.
- Home Delivered Meals- Hot or frozen home delivered meals that are transported or delivered to the eligible individuals' home.
- Homemaker (HM): Non-personal care tasks like housekeeping, laundry and shopping, performed on a recurring basis.
- Individual Program Plan (IPP): An individualized plan describing the tasks or training that will be done for a client receiving Transitional Living or Community Transition services and how the QSP will work toward the client's goals.
- Individual Service Plan (ISP): A data module in Therap QSPs use to track and document tasks completed during client visits, using a mobile device or computer.
- Limited to Tasks: Limits and cautions placed on tasks provided by QSPs.
- Medical Services Division/The Department: A division within Health and Human Services (HHS), which is responsible for enrolling QSPs, conduct audits and set rates for services.
- Mental Anguish: Psychological or emotional damage that requires medical treatment or care or is characterized by behavioral change or physical symptoms
- National Provider Identifier Number (NPI): A unique identification number created to improve the efficiency and effectiveness of electronic transmission of health information.
- Non-Medical Transportation – Driver (NMT): Transportation that helps individuals to access essential community services like grocery, pharmacy, banking, post office, laundromat, utility company and social security office, in order to stay in their home.
- Neglect: Failure of a caregiver to provide essential services necessary to maintain physical and mental health of a vulnerable adult or the inability or lack of desire of the vulnerable adult to provide essential services necessary to maintain and safeguard the vulnerable adult's own physical and mental health.
- Non-Medical Transportation, Driver with Vehicle (Driver or NMT-D): Transporting individuals from their homes to essential services.
- Non-Medical Transportation Escort (Escort or NMT-E): Escorting and assisting an individual through an essential task or activity. When an individual is using public transportation, escort may be authorized if they need help while being transported and while entering and exiting the vehicle. The QSP must also help the individual complete an activity and cannot be reimbursed for escort services while driving.

- ND Health Enterprise MMIS Portal (MMIS): The payment system ND Medicaid uses to process QSP payments for services provided.
- Nurse Education (NE): This service includes a comprehensive nursing assessment, care planning, training of nursing tasks for a client who is receiving services provided by a QSP enrolled to provide Extended Personal Care Services. The QSP must have a current nursing license in North Dakota or multistate licensure privileges under the Nurse Licensure Compact that is in good standing with the ND Board of Nursing.
- Nursing Plan of Care (NPOC): A comprehensive nursing assessment completed by a licensed registered nurse that holds a North Dakota or multistate licensure privilege under the Nurse Licensure Compact prior to authorization of Extended Personal Care Services.
- Personal Care (PC): Help with bathing, dressing, toileting, incontinence, medication assistance, transferring, mobility in the home, eating, personal hygiene (e.g. fingernail care, skin and mouth care and exercises). This service may include help with environmental activities as authorized by the CM.
- Physical Injury: Damage to bodily tissue caused by nontherapeutic conduct, including fractures, bruises, lacerations, internal injuries, dislocations, physical pain, illness or impairment of physical function.
- Provider Number: Number assigned to the enrolled QSP, also called a QSP number.
- Qualified Service Provider (QSP): A self-employed individual that meets all standards and requirements and is approved by HHS as a provider.
- Recipient Liability (RL): (see Client Share)
- Remittance Advice (RA): After you submit a claim, a document is created to explain what was or wasn't paid. The information is available in MMIS and shows information about the claim; days and amount billed and amount paid or denied for a specific payment period. If you are paid less than what you submit or your claim is denied, a reason is included for each. A payment total for the past year is also included.
- Respite Care (RC): Temporary relief to an individual's primary caregiver (QSP) for a specific period of time to relieve the stress and demands of continuous daily care.
- Respite Home Care (RHC): Temporary relief to an individual's primary caregiver (QSP) for a specific period of time to relieve the stress and demands of continuous daily care. This service is provided in the respite QSPs home. The respite QSP must have a home evaluation completed.
- Service: Work done by a provider for payment.
- Service Authorization (SA): An authorization created by a Case Manager (CM). The SA authorizes a QSP to provide services and lists the tasks a QSP can provide, the dates the service can be provided within and the maximum amount of service authorized per month.

- Service Fee: (see Client Share)
- Sexual Abuse or Exploitation: Includes that sex offenses defined in sections 12.1-20-02, 12.1-20-03, 12.1-20-04, 12.1-20-05, 12.1-20-06, 12.1-20-07, and 12.1-20-11.
- SFN: **S**tate **F**orm **N**umber, located on the upper left side of a form.
- Standard: A level of quality or excellence that is accepted as the norm for a specific task.
- Substantial Functional Impairment: A substantial inability, determined through observation, diagnosis, evaluation, or assessment to live independently or provide self-care resulting from physical limitations.
- Substantial Mental Impairment: A substantial disorder of thought, mood, perception, orientation, or memory which grossly impairs judgment, behavior, or the ability to live independently, or provide for self-care, and which is determined by observation, diagnosis, evaluation, or assessment.
- Supervision (SUP): Depending on the impairment, an individual may need supervision if they need human intervention to protect themselves.
- Transition Coordination (TC): Provides one-time moving costs and/or non-Medicaid services to help individuals with the actual coordination and implementation of their individualized move back into the community.
- Universal Precautions: Caregivers with direct individual contact are required to follow certain guidelines to prevent the spread of infectious diseases. Caregivers must use work practices to avoid contamination by blood, body fluids, secretions, excretions (except for sweat), nonintact skin, mucous membranes, dried blood, and other body substances including saliva.
- Vulnerable Adult: An adult who has substantial mental or functional impairment.
- Waiver Personal Care- Unit Rate (WPC): WPC is an all-inclusive service that combines personal care services, intermittent personal care, supervision, homemaker to the eligible individual while moving from task to task or completing tasks simultaneously within the authorized amount of time. Waiver personal care is provided for portions of a calendar day and limited to the amount of time that care is authorized. Only bill for the time it takes to complete the authorized tasks. Waiver personal care (WPC) may be provided outside the participants home when the participant is needing personal cares while outside of their home.. Billing for services outside the local trade area is allowed however, you must discuss any limitations with the HCBS Case Manager and prior approval may be required. Animal care is not a billable task under WPC.
- Waste: Overutilization, underutilization, or misuse of resources. Waste typically is not an intentional act.
- Willfully: Intentionally, knowingly, or recklessly.

## ENROLLMENT STEPS

Use the QSP enrollment portal to submit your application. All information must be correct and all required information submitted before enrollment is approved. If you need help, contact the **QSP Hub**; their contact information is on Page 5. Use the next few pages as a checklist of information needed to enroll.

### Create an Account in the QSP enrollment portal

- Access the QSP enrollment portal using the link on the QSP website.
- To create a profile in the enrollment portal, the QSP must first have an email account to receive emails from the Department.
- If you have signed up for other State of North Dakota services (e.g., SPACES, Secretary of State Business "First Stop, etc.) you may already have an ND Login username. You can use your ND Login to start your QSP application.
- We recommend you do NOT share an email account with unauthorized people such as family or friends. If you do use a shared account, you must get written permission from any persons receiving care to allow release of confidential information.

### Services

**It is important to understand and choose which services you will provide.** You may need additional forms for the services you choose. See pages 14 – 19 of this handbook, “Services Requiring Additional Information” for more information.

### Required Forms & Documents

- Copy of current Government Issued Identification (ex: driver’s license, tribal ID)
- SFN 750 – Documentation of Competency OR Copy of ND Certification/License
  - Competency must be verified by either a current SFN 750, ND CNA certification or LPN, RN, PT or OT license. Documentation of current license/certification must be uploaded into the QSP enrollment portal, if applicable.
  - Upload this document into the QSP enrollment portal.
  - **Chart A** of this handbook includes the minimum required standards all individual QSPs must meet to enroll. These standards must be met even if the person you plan to care for does not need help with one of the services listed on the form.
  - A licensed healthcare provider must complete the SFN 750 showing you are competent in all of the standards listed on the form.
  - The form must be completed correctly, any missing or incomplete information will not be accepted and a new form will be required. The most recent version of the form must be used.
  - Both columns 3 & 4 must be completed in Standards 5 – 26.
  - **Chart B** (Page 38) has a list of the type of providers that can complete the form with you.

- Direct Deposit is required. To set up a direct deposit account, one of the following forms of verification documents is required:
  - Voided check from your checking account
    - You must write “VOID” across the front of the check
    - “Starter checks” are not allowed
    - You cannot hand-write your name and address on the top left of the voided check, it must be pre-printed by the bank or financial institution
  - Letter from your bank or financial institution.
    - You cannot hand-write any information on the letter; it must be pre-printed by the bank or financial institution. Letters missing information will be returned and delay the processing of your application.
    - Your full name and address  
(Name on account must match the legal business name as reported to the IRS or as reported on your tax return.
    - Signature of bank employee (electronic signature is acceptable)
    - Bank name and address
    - Full bank routing number
    - Full bank account number, include all leading zeros (Checking or Savings)
  - Online Only Banks (Ex – Chime, CashApp)
    - Provide an account verification letter.
    - A bank employee signature is not required.
    - For information on how to obtain this information, visit the instruction on the bank website.
  - If using someone else’s bank account, include a letter of permission, signed and dated from the account owner, allowing you to receive payment into their account.
  - You will receive a paper check for your first two billing cycles until the account is verified.
- National Provider Identifier Number (NPI)
  - A “Type 1” NPI is required to bill for services as an individual QSP.
    - If you were previously enrolled as an Agency QSP and used a “Type 2” NPI, you will need a new “Type 1” NPI.
  - An NPI is a 10-digit numeric identifier that will not change, even if your name, address, taxonomy (use 253ZOOOOOX when applying), or other identifiers change.
  - If you do not have an NPI number, apply online.
    - A Taxonomy Code is required to obtain an NPI.
    - HHS recommends you use taxonomy **3747P1801X** for enrolling in personal care services, even if you also provide other types of services.
    - If you are ONLY providing Homemaker services, use taxonomy **376J00000X**.
    - If you are ONLY providing Chore services, use taxonomy **372500000X**.
  - See the **Appendix** for more information on applying for an NPI.
- Fraud, Waste and Abuse (FWA) Training
  - Training link is available in the QSP enrollment portal or can be accessed at this [link](#).
  - Once completed, you must enter your name in the training certificate.
  - Upload the completion certificate into the QSP enrollment portal.

- Onboarding Orientation Training
  - Completion Certificate must include applicant name
  - Upload document into the QSP enrollment portal.
  - Training is available in the enrollment portal.

## **Criminal Convictions & Enrollment Approval**

Court papers regarding criminal history including misdemeanor and felony offenses both in-state and out-of-state (not including minor traffic violations) must be submitted to the Department. Criminal convictions may not prevent enrollment but must be reviewed to determine if standards for enrollment are met.

1. *If you have been found guilty of or pled no contest to an offense identified in ND Admin Code 75-03-23-07, your enrollment status or application may be further evaluated to determine if you are sufficiently rehabilitated*
2. *According to ND Admin Code 75-03-23-07, the Department may not consider a claim that the individual has been sufficiently rehabilitated until any term of probation, parole or other form of community corrections or imprisonment without subsequent charge or conviction has elapsed, unless sufficient evidence is provided of rehabilitation.*

Once enrolled, you must notify QSP enrollment **within five business days** if your conviction history changes. Email [QSPinfo@nd.gov](mailto:QSPinfo@nd.gov) once you receive notice of a conviction. Failure to do so may result in termination of your QSP enrollment.

## **High Risk Provider Guidelines and Additional Requirements**

QSPs are classified as High Risk if any of the following criteria apply:

- You have had a payment suspension within the last ten years associated with a credible allegation of fraud, waste or abuse
- You have been listed on the OIG exclusion list within the last ten years
- You have an existing overpayment of funds of \$1500 or greater and all of the following:
  - The balance is more than 30 days old
  - Has not been repaid at the time application was filed
  - Is not currently being appealed
  - Is not part of an approved extended repayment schedule for entire outstanding overpayment

If you believe you may be a High-Risk provider or applicant, contact the QSP Hub at 701-777-3432 or email [info@ndqsphub.org](mailto:info@ndqsphub.org) with questions.

## **Services requiring additional information**

To be approved for the services below, additional information or forms are required. Contact the QSP Hub at 701-777-3432 or email [info@ndqsphub.org](mailto:info@ndqsphub.org) with questions.

- Adult Day Care
- Adult Foster Care
- Environmental Modification
- Extended Personal Care
- Family Home Care
- Family Personal Care
- Non-Medical Transportation
- Nurse Education
- Respite Care
- Supervision
- Transition Coordination

## **Adult Day Care (ADC)**

### Cognitive Endorsement

- You must have the cognitive endorsement approved on the SFN 750 - Documentation of Competency or hold a current ND CNA/RN/LPN certification or licensure.
- Required at initial enrollment and revalidation of certificate or licensure.
- Verification of this endorsement must be uploaded into the QSP enrollment portal.

Provide the following:

- A description of services provided in the ADC (Example: transportation, recreation program, PC)
- Number of maximum participants
- Hours of operation
- Adult Day care programs shall operate a minimum of three hours per day up to a maximum of ten hours per day.
- Policy and procedures documents for all requirements listed on the SFN 1703

### Non-Medical Transportation – Driver

- If transportation is included as part of the rate for your ADC program, you must meet all Driver with Vehicle standards for enrollment.
  - See Page 17 of this handbook for additional information.

### Site Visit

- Required at initial enrollment and revalidation to ensure compliance with the CMS HCBS Settings final rule (MS 2249-F/2296-F).
- Contact the QSP Hub at 701-777-3432 or email [info@ndqsphub.org](mailto:info@ndqsphub.org) to start the process. SFN 1703 – Compliance Checklist
  - Form completed by the Department.
  - **Free-Standing ADC Units/Home provider:**
    - Complete and submit a self-administered SFN 1703 – Compliance checklist
    - Include evidence of required inspections (fire and safety)

## **Adult Foster Care (AFC)**

Apply for this service in the QSP Enrollment Portal. Contact the QSP Hub at 701-777-3432 or email [info@ndqsphub.org](mailto:info@ndqsphub.org) with questions. A separate handbook is available at this [link](#).

## **Environmental Modification (EM)**

### Specialty license

- Upload a copy of the current license into the QSP enrollment portal (Ex - general contractor, tradesmen, electrician, plumber (NDCC 43-07,43-09, 43-18)).
- Required at initial enrollment and revalidation.
- If not licensed and only accepting jobs or projects under \$4,000, this is not required.
  - A letter of professional reference relevant to your ability to complete the necessary work must be uploaded into the QSP enrollment portal.



#### Liability insurance and bonding

- Upload a copy of current coverage into the QSP enrollment portal.
- Required at initial enrollment and revalidation.

#### North Dakota Secretary of State registration

- Verification of current good standing.
- Required at initial enrollment and revalidation.
- Upload a copy of current registration into the QSP enrollment portal.

#### Workforce Safety and Insurance (WSI)

- Verification of current good standing.
- Required at initial enrollment and revalidation.
- Upload a copy of current coverage into the QSP enrollment portal.

#### Proof of liability insurance and bonding

- Verification of current good standing.
- Required at initial enrollment and revalidation.
- Upload a copy into the QSP enrollment portal.

#### The following is NOT required:

- SFN 750/ND CNA/RN/LPN license and/or certification.

### **Extended Personal Care (EPCS)**

**IMPORTANT:** This section lists the requirements to enroll for this service. See **Page 45** for more information on the additional requirements for service delivery, documentation, approval, provider training, Nursing Plan of Care (NPOC), service locations, task limitations, reportable incidents, services and transfers and virtual supports options.

#### Non-Medical Transportation, Driver with Vehicle

- Transportation is a required part of this service, providing a ride and escort to medical appointments as a part of communication or other impairments for individuals receiving care.
- QSPs providing this service must meet all Driver with Vehicle standards for enrollment.
- See below under the heading “Non-Medical Transportation – Driver” for enrollment requirements for this service.

### **Family Home Care (FHC)**

Application must be initiated by a Case Manager in the QSP Enrollment Portal. A separate handbook is available at this [link](#).

### **Family Personal Care (FPC)**

Application must be initiated by a Case Manager in the QSP Enrollment Portal. A separate handbook is available at this [link](#).

## Non-Medical Transportation – Driver (NMT – Driver)

### SFN 750/ND CNA/RN/LPN

- Competency must be verified by either a current SFN 750, ND CNA certification or LPN, RN, PT or OT license. Documentation of current license/certification must be submitted, if applicable.
- For competency verified by an SFN 750, **ONLY** in Lines 5, 6 and 12 – 15 must be completed.
- Competency documents must be uploaded into the QSP enrollment portal.
- Required at initial enrollment, competency expiration and revalidation.

### Driver's License

- Copy of a current, valid driver's license, in good standing with state of residency.
- **Note:** Out of state license requirements must follow ND DOT standards.
  - For individuals previously living out of state, living in ND beyond the requirements listed at the link above, a ND license is required.
- Required at initial enrollment, expiration of license and revalidation. Proof of valid, current driver's license must be maintained on file at all times.
- Upload a copy of this document into the QSP enrollment portal.

Maintain current vehicle registration and proper insurance coverage for vehicles used to transport clients at all times. Failure to do so may result in removal of the service from provider profile.

- Proof of registration and insurance coverage is not required upon enrollment but must be submitted if requested by the Department.

If the client uses an adapted vehicle and the QSP will use a vehicle they do not own, the QSP must obtain written permission from the vehicle owner for use during this service. The QSP and vehicle owner are responsible to check with the insurance carrier to assure they have adequate insurance coverage for providing transportation to clients and current vehicle registration.

## **Nurse Education (NE)**

**Only** a licensed Registered Nurse (RN) can provide this service.

- Upload a copy of current North Dakota nursing license or multistate licensure privileges under the Nurse Licensure Compact into the QSP enrollment portal.
- Required at initial enrollment and license expiration.
- An Licensed Practical Nurse (LPN) can only assist the RN.
  - See NDAC 54-05-01 and 54-05-02 for more information.

An SFN 807 – Nursing Plan of Care (NPOC) is completed by the QSP as you are assigned to provide this service to an individual. You must keep this form on file and are not eligible to complete this service without a current, validly completed form on file.

## **Respite Care (RC)**

### **SFN 750/ND CNA/RN/LPN**

- Competency must be verified by either a current SFN 750, ND CNA certification or LPN, RN, PT or OT license. Documentation of current license/certification must be submitted, if applicable.
- Cognitive Endorsement
  - You must have the cognitive endorsement approved on the SFN 750 or hold a current ND CNA/RN/LPN certification or licensure.
- Upload a copy of this document into the QSP enrollment portal.
- Required at initial enrollment and expiration of competency.

## **Respite in an Adult Foster Care (RAFC)**

- Background Check
  - Separate background screening is required at initial enrollment, before providing services in an RAFC home.
  - North Dakota Human Services (NDHHS) background checks are completed online through the ND Gateway Portal. Click here to download the User Process Guide for step by step instructions.

Prior to starting the background check entry, ensure:

  - You have your physical address history for the last eleven (11) years
  - You use an email address you check frequently as this is the only method of communication from the background check unit and respond to emails for additional information
  - Use your ND Login, if you do not have one, you will be redirected to create an account
  - When completing the background check in the portal under “Highlighted Required Information” enter the following information:
    - Reason(s) for background check: Enter “respite in adult foster care”
    - Requesting agency or agencies: Enter “HHS Aging Services”

Agency contact person(s): Enter “Internal Requesting Agency Contact”
- SFN 750/ND CNA/RN/LPN
  - Competency must be verified by either a current SFN 750 or ND CNA/LPN or RN.
  - Cognitive Endorsement
    - You must have cognitive endorsement approved on the SFN 750 or hold a current ND CNA/RN/LPN certification or licensure.
  - Required at initial enrollment and expiration of competency.
  - Upload a copy of this document into the QSP enrollment portal.

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## **Respite Home Care (RHC)**

- To be eligible to provide respite care in your own home, you must have a home evaluation and the following form completed by an HCBS case manager (CM). Once you submit your application to enroll in the portal, a CM will contact you to complete the home evaluation.  
SFN 659 – Respite Home Evaluation

- Competency must be verified by either a current SFN 750, ND CNA certification or LPN, RN, PT or OT license. Documentation of current license/certification must be submitted, if applicable.
  - Upload a copy of this document into the QSP enrollment portal.
  - Required at initial enrollment and expiration of competency.
- Cognitive Endorsement
  - You must have the cognitive endorsement approved on the SFN 750 or hold a current ND CNA/RN/LPN certification or licensure.

### **Supervision (SUPV)**

#### **SFN 750/ND CNA/RN/LPN**

- Competency must be verified by either a current SFN 750, CNA/LPN or RN.
  - Upload a copy of this document into the QSP enrollment portal.
  - Required at initial enrollment and expiration of competency.
- Cognitive Endorsement
  - You must have the cognitive endorsement approved on the SFN 750 or hold a current ND CNA/RN/LPN certification or licensure.

### **Supervision Service Activities, Authorized and Limits**

1. Under the Medicaid Waiver program per N.D.A.C. §§ 75-03-23-06(13) Live-in service providers cannot be authorized to provide the service of Supervision.
  1. This applies to all live-in QSP's, not just family members.
2. Supervision does not include taking/transporting recipients out of the home to community/social events etc.
  1. Under Supervision, Community Integration, Social Appropriateness, and Transportation are tasks which cannot be authorized under this chapter. If an individual needs these tasks they must be authorized under Transitional Living, Residential Habilitation, or Community Support Services.
3. Supervision can be authorized with the following services, but payment for supervision cannot be claimed, during the time these services are being provided: Homemaker, Respite Care, Extended Personal Care, Chore, Non-Medical Transportation, Medicaid State Plan Personal Care, Transitional Living, Supported Employment, Adult Day Care, Community Transition Services and Companionship Services. Supervision cannot duplicate the services provided under Transitional Living.
4. To avoid duplication of services, Supervision cannot be combined with: Adult Foster Care, Residential Services, Residential Habilitation, Community Support and Family Personal Care as supervision is already an allowable task under these services.
5. Supervision cannot be combined with an Emergency Response System as this service is not meant to replace the need for human intervention. For unusual or unique circumstances, approval from the HCBS Program Administrator must be obtained.
6. In circumstances where live-in providers are seeking to provide personal care under a unit rate, combined with supervision, the case managers must seek prior approval for this service combination.

7. For unusual or unique circumstances, contact the HCBS Program Administrator in writing for consideration as a potential reasonable modification based on the totality of the circumstances.

#### Supervision outside of the individual's home

Payment cannot be made for time performing authorized supervision tasks outside of the individual's home/grounds.

#### **Transition Coordination (TC)**

Associate's or Bachelor's degree in sociology, social services, social work, nursing or a field related to programmatic needs from an accredited university is required.

- Individuals with an Associate's degree must also have at least one year of progressively responsible experience in programs related to the task.
- Required at initial enrollment and expiration of competency.
- Upload a copy of this document into the QSP enrollment portal.

CHART A – Allowable Task, Activities and Standards (see Adult Foster Care Allowable Tasks, Activities & Standards specific to AFC providers)



5. ADC, CH (except snow removal) EPCS, HM, NMT- E, PC, RC	<p><b><u>Proper handwashing methods</u></b></p> <p>Know generally accepted practice of infection control guidelines/proper hand hygiene.</p>	<p>Follow these steps when wash your hands every time:</p> <ul style="list-style-type: none"> <li>• Wet your hands with clean, running water, turn off the tap and apply soap.</li> <li>• Lather your hands by rubbing them together with the soap. Lather the backs of your hands, between your fingers and under your nails.</li> <li>• Scrub your hands for at least 20 seconds.</li> <li>• Rinse your hands well under clean running water.</li> <li>• Dry your hands using a clean towel or air dry them.</li> </ul> <p>If soap and water are not available:</p> <ul style="list-style-type: none"> <li>• Use an alcohol-based hand sanitizer that contains at least 60% alcohol.</li> </ul> <p>Follow these steps when using hand sanitizer:</p> <ul style="list-style-type: none"> <li>• Apply the gel product to the palm of one hand in the correct amount.</li> <li>• Rub your hands together.</li> <li>• Rub the gel all over the surfaces of your hands and fingers until your hands are dry, which should take around 20 seconds.</li> </ul>	N/A
APPLICABLE TO SERVICES	STANDARD	REQUIRED DOCUMENTATION of COMPETENCY LEVEL	LIMITED TO TASKS
6. ADC, CH (excluding snow removal), EPCS, HM, NMT- E, PC, RC	<p><b><u>Handling of bodily fluids</u></b></p> <p>Keep generally accepted practice of universal precautions and the use of personal protective equipment (PPE) when handling and disposing of body fluids.</p>	<p>Followed Body Substance Isolation (BSI) recommended practice that includes the use of gloves, gowns and proper disposal of both body fluids and items used.</p> <p><u>Use of Personal Protective Equipment (PPE):</u> Wear clean gloves when touching blood, body fluids, secretions, excretions, and soiled items like linens, incontinence products, etc.</p> <ul style="list-style-type: none"> <li>• Perform hand hygiene prior to putting on gloves.</li> <li>• Remove jewelry, cover abrasions then wash and dry hands.</li> </ul>	N/A

		<ul style="list-style-type: none"> <li>• Ensure gloves are intact without tears or imperfections.</li> <li>• Fit gloves, adjusting at the cuffs.</li> <li>• Remove by gripping at cuffs.</li> <li>• Immediately dispose of gloves in waste basket.</li> <li>• Wash hands after removing gloves.</li> <li>• Replace gloves after sneezing, coughing, touching or the hair or face, or when contaminated.</li> <li>• DO NOT reuse gloves, they should be changed after contact with each individual.</li> </ul> <p>Gowns (if needed) – should be worn during cares that are likely to produce splashes of blood or other body fluids.</p> <ul style="list-style-type: none"> <li>• Fully cover torso from neck to knees, arms to end of wrists, and wrap around the back.</li> <li>• Tie all the ties on the gown behind the neck and waist.</li> <li>• Untie or unsnap all ties or buttons. Some ties can be broken rather than untied. Do so in a gentle manner, avoiding a forceful movement.</li> <li>• Reach up to the shoulders and carefully pull gown down and away from your body. You may also roll the gown down your body.</li> <li>• Dispose the gown in waste basket.</li> <li>• Perform hand hygiene after removing gowns.</li> </ul> <p>Masks - Recommended as a best practice for all QSPs when the risk level is high in the community for COVID-19 as a standard precaution.</p>	
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7. ADC, HM, PC, RC	<p><b><u>Basic meal planning and preparation</u></b></p> <p>Have knowledge of basic meal planning and preparation.</p>	<p><u>Planning:</u> Developed a menu utilizing the basic food groups; made a shopping list, considered variety, texture, flavors, color, and cost of foods.</p> <p><u>Shopping/Purchasing:</u> Read food labels; identified ingredients (this is critical for special diets (e.g. salt free, low in sugar); considered cost; used seasonal food when possible.</p> <p><u>Preparing the Meal:</u> Washed hands and applied gloves; followed the menu; followed recipes; know food substitutions, allowed for special diet if a food item is not available; prepared one-dish meals as appropriate or for foods which may be reheated, prepared for proper storage and reheating for future servings; used proper size pans; used correct burner size; when using oven, prepared more than one item at a time; when possible, use toaster/microwave for small meals, conventional oven for big meals, cleaned up; wash dishes. Removed gloves and washed hands.</p>	Does <b><u>NOT</u></b> include canning of produce or baking of such items as cookies, cakes & bread.
APPLICABLE TO SERVICES	STANDARD	REQUIRED DOCUMENTATION of COMPETENCY LEVEL	LIMITED TO TASKS
8. ADC, HM, PC, RC	<p><b><u>Routine housework</u></b></p> <p>Know generally accepted practice for maintaining kitchen, bathroom and other rooms used by client in a clean and safe condition.</p>	<p>Washed hands and applied gloves.</p> <p><u>Dusting:</u> Dampened cloth with water or commercial spray; moved cloth across surface to gather dust.</p> <p><u>Floor Care:</u> Vacuumed rugs or carpets; mopped tile or linoleum floors; small rugs were shaken or washed.</p> <p><u>Cleaning Bathroom:</u> Wiped out tub/shower after each use to keep mildew free; cleaned sink regularly; scrubbed out toilet bowl with soap or detergent regularly.</p> <p><u>Cleaning Kitchen:</u> Cleaned up after each meal; wiped out refrigerator regularly; wiped down small appliances</p>	Includes dusting, vacuuming (which may include moving furniture), floor care, garbage removal, changing linens, and other similar tasks in the room occupied or used by the client.

		<p>as necessary; wiped off countertops; kept surfaces uncluttered; proper disposal of garbage.</p> <p>Removed gloves and washed hands.</p>	
<p>9. ADC, HM, PC, RC</p>	<p><b><u>Wrinkle free bed</u></b></p> <p>Know generally accepted procedure of making beds.</p>	<p>Washed hands and applied gloves.</p> <p><u>Closed Bed:</u> Gathered necessary linens; hung sheet evenly over bed; tucked bottom sheet under at head of bed; placed top sheet on bed with large hem even with head of bed; placed blanket and bedspread on bed; hanging evenly on both sides; folded top sheet, blanket and bedspread under at foot of bed; folded top sheet approximately 4" over bedspread and blanket; placed pillowcase on pillow.</p> <p><u>Open Bed:</u> Made closed bed, then folded top of covers to foot of bed; smoothed sides into folds; placed pillow on bed.</p> <p><u>Occupied Bed:</u> Gathered linens and bath towel; covered client with bath towel; removed top covers; moved client to one side of bed; assured client's safety, untucked bottom sheet and draw sheet; folded up against client; placed clean bottom sheet on bed; tucked in as appropriate; moved client over to side with clean sheet; removed dirty sheets; placed dirty sheets in a hamper; pulled bottom sheet to other edge; tucked in as appropriate; changed pillow case; placed clean top sheet over client; removed bath towel; placed clean blanket and bedspread over top sheet, tucked top sheet, blanket and bedspread at foot of bed; assured sheets were not tight across client's toes.</p> <p>Removed gloves and washed hands.</p>	<p>See Endorsements section for mechanical or therapeutic devices.</p>

10. ADC, HM, PC, RC	<p><b><u>Laundry techniques</u></b></p> <p>Know generally accepted practice – in laundry techniques; (include mending).</p>	<p>Washed hands and applied gloves.</p> <p>Able to make necessary minor repairs to client's clothing or bedding or linens (sew button or hem); separated clothing per label instructions considering color, fabric, soiled, dry clean only; pretreated spots/stains; followed washing machine instructions for detergent and type of load, dried clothing, ironed/folded, returned to proper storage.</p> <p>Removed gloves and washed hands.</p>	Includes washing, drying, folding, putting away ironing, mending, and related tasks.
<b>APPLICABLE TO SERVICES</b>	<b>STANDARD</b>	<b>REQUIRED DOCUMENTATION of COMPETENCY LEVEL</b>	<b>LIMITED TO TASKS</b>
11. HM, PC, RC	<p><b><u>Managing a budget</u></b></p> <p>Knowledge of generally accepted practice of assisting with bill paying, balancing a checkbook and managing a home budget.</p>	Demonstrated ability to add, subtract, accurately record expenses/deposits and balance a checkbook. Know process to pay bills; set up a home budget within the available income of client to include such items as food, utilities, rent, essential supplies.	Monthly budgeting and/or paying bills.
12. ADC, EPCS, NMT-E, PC, RC	<p><b><u>Toileting</u></b></p> <p>Know generally accepted practice in assisting with toileting.</p>	<p>Washed hands and applied gloves.</p> <p><u>Bedpan:</u> Assembled supplies and equipment (e.g. toilet paper; bedpan, commode), ensured privacy. Put on gloves, assists client to properly cleanse elimination area, always wipe from front to back. Puts supplies and equipment in proper storage. Removes gloves and washes hands. Assists client with washing hands.</p> <p><u>Commode or Toilet Stool:</u> Gathers supplies and equipment commode, toilet tissue. If not going into bathroom, ensure privacy, assists client with transferring</p>	For assisting with suppository. Endorsement D.

		onto commode or toilet stool, supply toilet tissue, leave client for 5 minutes, apply gloves, assist client with cleansing elimination area, always wipe from front to back, cleansed and disinfected commode or flush toilet, removed gloves, washed hands, and assisted client with washing hands.	
13. ADC, EPCS, NMT-E, PC, RC	<b><u>Caring for incontinence</u></b>  Know generally accepted practice of caring for incontinent client	Washed hands and applied gloves. Assembled necessary supplies (e.g. incontinence supply, washcloth, powder); provided for privacy; used correct positioning techniques; removed soiled materials/clothing; cleaned area; dried area; observed for unusual skin conditions; applied appropriate lotions/powder, if necessary; applied clean incontinence supply item. Properly dispose of soiled material and other consumable supplies. Removed gloves and washed hands after all cares.	For assisting with suppository. Endorsement D.
14. ADC, EPCS, NMT-E, PC, RC	<b><u>Transferring</u></b>  Know generally accepted practice in transferring client; using belt, standard sit, bed to wheelchair.	Washed hands and applied gloves.  <u>Transfer Belt:</u> Assisted client to sit; applied belt; stood in front of client; client's hands on your shoulders; grasped belt, had your knees braced against client's; had your feet block client's; raised and lowered client.  <u>To Standard Sit:</u> Put client's hands on chair arms, one of your knees between clients; other knee braced client's knee; held client at center of gravity; instructed client to stand. Reversed procedure to sit.  <u>Bed to Wheelchair:</u> Positioned wheelchair; locked the wheels; assisted client to dangle legs; instructed client to stand, reach for wheelchair arm, pivot and sit; supported and guided client. Reversed procedure to return to bed.	N/A

		Removed gloves and washed hands.	
APPLICABLE TO SERVICES	STANDARD	REQUIRED DOCUMENTATION of COMPETENCY LEVEL	LIMITED TO TASKS
15. ADC, EPCS, NMT-E, PC, RC	<p><b><u>Ambulation</u></b></p> <p>Know generally accepted practice of assisting client with ambulation.</p>	<p>Washed hands and applied gloves.</p> <p><u>Cane:</u> Assisted client to stand, cane was held on correct side (single point cane usually held on strong side of body; 3 or 4 point cane usually held on weak side of body); cane was moved forward by client; feet were moved forward by client; assisted as necessary.</p> <p><u>Crutches:</u> Assisted client to stand. For swing-through gait; client placed crutches 6" to 12" ahead, lifted and swung body just ahead of crutches, repeated. For 4-point gait: moved right crutch forward 6" to 8"; moved left foot forward; repeated for left crutch and right foot. For going up or down steps: DOWN – crutches on the step first, strong leg down first, then weak leg, repeat; UP – crutches and strong leg on same step, strong leg up first, repeat.</p>	Assisting client to walk, use wheelchair, walker, crutches or cane.

		<p><u>Walker:</u> Assisted client to stand. Placed walker 6" to 12" in front of client. Client moved feet forward while holding walker in hands. Assist as necessary.</p> <p>Removed gloves and washed hands.</p>	
16. ADC, PC, RC	<p><b><u>Bathing techniques</u></b></p> <p>Know generally accepted practice in bathing techniques: bed, tub, and shower.</p>	<p>Washed hands and applied gloves. Gathered necessary supplies/equipment (e.g. soap, wash cloth, towel); assured privacy; checked for appropriate water temperature; made mitten out of washcloth; (began with cleanest part of body). For bed bath: washed, rinsed, and patted dry one part of body at a time and only exposed the part of body being washed; observe for unusual changes in skin condition. For clients needing assistance with washing, follow procedure for bed bath/sponge bath using gloves. Changed gloves between cares. Instruct client to use safety bars when getting in and out of tub. Caregiver to provide necessary assistance with transfer to prevent fall. For client who is unsteady, drain tub water prior to client attempting to get out. Assist with transfer from tub or shower. Make sure all skin areas are thoroughly dry. Inspect skin for any changes (see Standard #18). Removed gloves and washed hands. Cleanse bath or shower.</p>	N/A

17. ADC, PC, RC	<p><b><u>Hair care techniques</u></b></p> <p>Know generally accepted practice in hair care techniques: bed and sink shampoo, shaving.</p>	<p>Washed hands and applied gloves.</p> <p><u>Bed shampoo:</u> Gathered necessary supplies and equipment (e.g. shampoo, towel(s), pail, bucket, chair); placed pail/bucket on chair at head of bed; checked for appropriate water temperature; protected mattress and chair with plastic or towel; used plastic drainable trough; used cup or pitcher to pour water; shampooed, rinsed, dried hair; cleaned up. If a shampoo board is used, the board must be completely sanitized before being used for the next or another client.</p> <p><u>Sink shampoo:</u> Gathered necessary supplies and equipment (e.g. shampoo, towel(s), washcloth); placed towel on client's shoulders; used washcloth to cover eyes; had client lean toward sink, wet hair; shampooed, rinsed, dried hair; cleaned up. If a shampoo board is used, the board must be completely sanitized before being used for the next or another client.</p> <p><u>Shaving:</u> Gathered necessary supplies and equipment (e.g. electric razor, safety blade (no straight-edged razor), towel(s), lotion); had client in sitting position or on back; applies warm washcloth and then shaving cream or gel if using safety blade; held skin tautly; shaved in direction of hair growth; rinsed shaven area; applied shaving lotion, if desired; cleaned up. No sharing of razor blades.</p> <p>Removed gloves and washed hands.</p>	N/A
<b>APPLICABLE TO SERVICES</b>	<b>STANDARD</b>	<b>REQUIRED DOCUMENTATION of COMPETENCY LEVEL</b>	<b>LIMITED TO TASKS</b>
18. ADC, PC, RC	<p><b><u>Oral hygiene techniques</u></b></p> <p>Know generally accepted practice in oral hygiene</p>	<p>Washed hands and applied gloves; gathered necessary supplies (e.g. toothbrush; toothpaste; small water basin); applied toothpaste to toothbrush; gave client toothbrush if able to brush own teeth or brushed using gentle</p>	N/A

	techniques: brushing teeth, cleaning dentures.	motion; brushed teeth thoroughly throughout the mouth; offered rinse water; offered mouth wash; wiped client's mouth; observed for bleeding and pressure areas in mouth from dentures; replaced to storage; cleaned up. Removed gloves and washed hands.	
19. ADC, PC, RC	<b><u>Dress/undress client</u></b>  Know generally accepted practice in how to dress/undress client.	Washed hands and applied gloves. Assembled clothing; assisted client to proper position for dressing; put on underwear; then trousers or pajamas; shirt or over-the-head clothing; socks or stockings, slippers or shoes. For <u>undress</u> , do the reverse. Removed gloves and washed hands.	N/A
20. ADC, PC, RC	<b><u>Feed or assist with eating</u></b>  Know generally accepted practice of how to feed or assist client with eating.	Washed hands and applied gloves; gathered utensils (e.g. napkin, tray); placed napkin near client, on client's chest or under chin, if appropriate; told client what foods are served; alternated solids and liquids; used a spoon for safety; used a short straw if client could not drink from a cup or glass, wiped client's mouth with napkin; cleaned up as appropriate; offered oral hygiene. Removed gloves and washed hands.	Does NOT include tube feeding.
21. ADC, PC, RC	<b><u>Routine eye care</u></b> (eye drops/ointment)  Know generally accepted practice for routine eye care.	Washed hands and applied gloves. Able to assist in self-administration of routine eye care; assemble supplies, eye care products, and gloves if there is drainage coming from eye. Wash hands and apply gloves if necessary. Instill solution according to manufacturer's guidelines. Removed gloves and washed hands.	Routine regimen prescription and non- prescription eye drops, ointment, eye pad after a well-established routine of care has been set forth for the client.
22. ADC, PC, RC	<b><u>Care of fingernails</u></b>  Know generally accepted practice in proper care of nails.	<u>Nail Care:</u> Washed hands and applied gloves. Gathered necessary supplies and equipment (towel, water basin, nail file, nail clipper); filled wash basin with warm water, soaked client's fingernails approximately 20 minutes; cleaned under fingernails; clipped fingernails straight across; shaped with nail file; cleaned up/replaced	Routine fingernail care. ONLY if the client DOES NOT have diabetes, heart disease, circulatory disease or fungus.



		equipment/supplies; washed hands. Properly disposed of nail clippings. Removed gloves and washed hands.	
23. ADC, PC, RC	<p><b><u>Assist with self-administration of medication for able individuals</u></b></p> <p>Know generally accepted practice for assisting client with self-administration of medications.</p>	<p>Washed hands and applied gloves, assisted client to proper position for self-administration of medication. Assisting the client with opening container, assisting with positioning, fluid intake and recapping. Medication should be properly labeled so you can see the name of the medication, instructions, and dose and time medication should be taken. If medication has been set up in medication container or planner by nurse or family, make sure it is clearly marked/labeled, assist client with opening container making sure medication is taken on appropriate day and time of day. Provide drinking fluid to swallow medication, assist client to close container and store medication properly. Removed gloves and washed hands.</p>	<p>Assisting client in <u>self</u>-administration by doing the following - opening container, assisting the client with proper position for taking medication, assist with giving client drinking fluid to swallow medication, recap the container.</p>
24. ADC, PC, RC	<p><b><u>Skin Care</u></b> (lotions, ointments, etc)</p> <p>Know generally accepted practice of caring for skin.</p>	<p>Washed hands and applied gloves, identified pressure points (bony areas of body): changed client's position every two hours; kept linens wrinkle-free and dry, used powder where skin comes together; washed and dried client's skin promptly if urine or feces are present and have now been removed, applied lotion as necessary for dry skin, observed for skin breakdown. Removed gloves and washed hands.</p>	<p>Prophylactic (prevent-active) and palliative (relief or relieving) skin care, including bathing and application of non-prescriptions lotions or treatment for minor skin problems. Do not rub reddened areas. Report notice of reddened skin areas or open areas to HCBS Case Manager.</p>
<b>APPLICABLE TO SERVICES</b>	<b>STANDARD</b>	<b>REQUIRED DOCUMENTATION of COMPETENCY LEVEL</b>	<b>LIMITED TO TASKS</b>

<p>25. ADC, PC, RC</p>	<p><b><u>Turning and positioning</u></b></p> <p>Know generally accepted procedure for turning and positioning client in bed.</p>	<p>Maintained body alignment, kept spine straight and supported head.</p> <p><u>For Sitting Up:</u> Placed pillows as needed for comfort if hospital bed – raised backrest to desired position.</p> <p><u>In Positioning on Back:</u> Supported non-functional body parts with folded/rolled towels/pillows (shoulder blade, hip, hand, arm/elbows, leg) to promote blood circulation; did not place pillows, rolled or folded towels under knees when lying on back, loosened top sheet to prevent pressure from toes.</p> <p><u>In Turning Client Toward You/Away From You:</u> Lower head of bed if evaluated, move client to side of bed near you; crossed client's arms over chest and nearest leg over farthest leg; placed one of your hands on client's shoulder, one on hip; gently rolled client toward you or push client away from you; placed pillows as appropriate for comfort and support (against back, under head and shoulder, in front of bottom leg, top leg on pillow, under client's arm/hand).</p>	<p>N/A</p>
<p>26. All Providers</p>	<p><b><u>Universal Precautions</u></b></p> <p>Know the guidelines and practice universal/standard precautions.</p>	<p>Guidelines for universal/standard precautions:</p> <p>1. Wash hands:</p> <ul style="list-style-type: none"> <li>• Before, during and after preparing food or before eating food.</li> <li>• Before and after caring for someone who is sick with vomiting or diarrhea.</li> <li>• Before and after treating a cut or a wound.</li> <li>• After using the toilet and after changing incontinent care products.</li> <li>• After blowing your nose, coughing, or sneezing.</li> <li>• After touching an animal, animal feed, animal waste, pet food or pet treats.</li> </ul>	<p>N/A</p>

		<ul style="list-style-type: none"> <li>• After touching garbage.</li> <li>• After you have been in a public place and touched an item or surface that is touched by other people.</li> <li>• Before touching your eyes, nose, or mouth.</li> <li>• When hands are visibly soiled.</li> <li>• Immediately after removal of any personal protective equipment (example: gloves, gown, masks).</li> <li>• Before providing any direct personal cares.</li> </ul> <p>2. Use of Personal Protective Equipment (PPE):</p> <ul style="list-style-type: none"> <li>• QSPs are responsible to provide their own including PPE.</li> <li>• Wear clean gloves when touching blood, body fluids, secretions, excretions, and soiled items like linens, incontinence products, etc.</li> <li>• Gowns (if needed) – should be worn during cares that are likely to produce splashes of blood or other body fluids.</li> <li>• Mask usage: Recommended as a best practice for all QSPs when the risk level is high in the community for COVID-19 as a standard precaution.</li> <li>• <b>U</b>se during care activities where close contact with client is unavoidable. Clean hands with soap and water or hand sanitizer before touching mask. Mask can be worn throughout tasks and does not need to be changed between them if it is not soiled.</li> </ul> <p>3. Prevent injuries from used equipment like needles and other sharp instruments or devices during cares provided.</p> <ul style="list-style-type: none"> <li>• Do not recap needles or remove needles from syringe.</li> <li>• After use, place disposable syringes and needles and other sharp items in a puncture-resistant container for disposal.</li> </ul>	
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		4. Clean equipment used for the individual before and after each use.	
<b>APPLICABLE TO SERVICES</b>	<b>STANDARD</b>	<b>REQUIRED DOCUMENTATION of COMPETENCY LEVEL</b>	<b>LIMITED TO TASKS</b>
27. EPCS, NMT-D/V	Have a <u>valid</u> driver's license for the state of physical residence.	QSP must provide a photocopy of driver's license including the license number and expiration date. Out of state license requirements must follow ND DOT standards.	N/A
28. EPCS, NMT-D/V	Assurance included in QSP Enrollment Portal.	Agree to assurances found during application process.	N/A
29. EPCS, NMT-D/V	Maintain current vehicle registration and proper insurance coverage for vehicles used to transport clients at all times.	Agree to verify with insurance carrier that insurance coverage is current and appropriate for the services provided and maintain adequate vehicle registration. Proof of registration and insurance coverage is not required upon enrollment but must be submitted if requested by the Department.	N/A
30. CHORE (CH) (Labor, Snow removal & Pest Control)	<p>Knowledge of generally accepted procedure for seasonal cleaning or unusual/heavy cleaning.</p> <p>Knowledge of generally accepted snow removal procedures and use of snow removal equipment.</p> <p>Know generally accepted procedure for pest extermination.</p>	<p>Agree to assurances found during application process for all applicable types of Chore service selected for enrollment.</p> <p>Professional exterminator/company, proof of business type and/or licensure required.</p>	N/A

31. Environmental Modification (EM)	Contractor's license filed with Secretary of State's office, current contractor's insurance, and good standing with Workforce, Safety, and Insurance.	Provide a copy of license, bids, insurance, bonding, and current standing with Workforce, Safety, and Insurance.	N/A
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## Global Endorsements Information

- Global Endorsements are listed as letters A - I on the SFN 750 – Documentation of Competency
- QSPs are not required to be found competent in global endorsements to enroll for all services
- For the services listed below, a QSP must be found competent in the “Cognitive/Supervision” Endorsement “E” before they may be enrolled for the following services:
  - Companionship
  - Respite Care
  - Supervision
- Some clients may need specialized care, requiring their QSP to be found competent in a global endorsement before they can be authorized a service or rate:
  - Specific to each client that may need assistance with additional services.

### **Global Endorsements:**

- A. Maintenance Exercise
- B. Catheter: routine care indwelling
- C. Medical Gases - limited to oxygen
- D. Suppository - non-prescription suppository only
- E. Cognitive/Supervision
  - a. **REQUIRED** for:
    - a. Companionship Services
    - b. Respite Care
    - c. Supervision
- F. Taking blood pressure, pulse, temperature, respiration rate
- G. Compression garment or devices
- H. Prosthesis/Orthotics/Adaptive devices
- I. Hoyer Lift/Mechanized bath chair

### **Requirements for Global Endorsements:**

- A QSP may choose to meet the standards for any or all of the endorsements but will not be approved unless competency is shown in all standards 5 – 26 on the SFN 750.
- Individuals with one of the following current ND licenses or certificates automatically meet the standards for all Global Endorsements:
  - Certified Nurse Assistant
  - Licensed Practical Nurse
  - Registered Nurse
  - Registered Physical Therapist
  - Registered Occupational Therapist
- If the individual does not have any of the above listed licenses or certifications, an SFN 750 – Documentation of Competency must be completed by a licensed healthcare provider, showing competency in the global endorsement section, to be approved for any or all global endorsements.

## CHART B- Global Endorsements a Health Care Provider Can Perform and/or Authorize

As Performed by:

ENDORSEMENTS	PHYSICIAN		RN		LPN		CNA		OT		PT		CHIROPRACTOR	
	Can perform	Can authorize	Can perform	Can authorize	Can perform	Can authorize	Can perform	Can authorize	Can perform	Can authorize	Can perform	Can authorize	Can perform	Can authorize
Maintenance Exercise	X	X	X	X	X	X	X	N/A	X	X	X	X	X	X
Catheter Care	X	X	X	X	X	X	X	N/A	X	N/A	X	N/A	N/A	N/A
Medical Gases	X	X	X	X	X	X	X	N/A	X	N/A	X	N/A	N/A	N/A
Suppository	X	X	X	X	X	X	X	N/A	X	N/A	X	N/A	N/A	N/A
Cognitive	X	X	X	X	X	X	X	N/A	X	X	X	X	X	X
Taking BP/TPR	X	X	X	X	X	X	X	N/A	X	X	X	X	X	X
Compression Garment or Device	X	X	X	X	X	X	X	N/A	X	X	X	X	X	X
Prosthesis/Orthotics	X	X	X	X	X	X	X	N/A	X	X	X	X	X	X
Hoyer Lift/Mechanized Bath Chair	X	X	X	X	X	X	X	N/A	X	X	X	X	X	X

## CHART C – Global Endorsements

Endorsement	Standard	Required Documentation or Competency Level	Limited to Tasks
A. MAINTENANCE EXERCISE	Know generally accepted practice of how to perform maintenance exercise regimens.	Exercises are maintenance oriented and client specific. Assisted client to complete exercises <b>which have been taught to client</b> – neck, shoulders, elbows, wrists, fingers, hips, knees, ankles, toes. Follow only exercise regimen recommended for the client; i.e. the performance of the repetitive exercise required to maintain function, improve gait, maintain strength, endurance or communication; passive exercise maintain motion in paralyzed extremities, not related to a specific loss of function, and assistive walking.	Limited to general observation of exercises which have been taught to the client; including the actual carrying out of maintenance program, the performance of repetitive exercises required to maintain function, improve gait, maintain strength, endurance or communication, passive exercises to <u>maintain</u> range of motion in paralyzed extremities which are not related to a specific loss of function and assistive walking.
B. CATHETER	Know generally accepted practice of procedure for routine care of indwelling bladder catheter care.	Washed hands and applied gloves, gathered all necessary supplies (basin of warm water, mild soap, washcloth, plastic bag for waste, disposable gloves); provided for client privacy; held catheter with one hand; do <u>NOT</u> hold up so that urine runs back into the bladder; cleaned meatus and catheter with other hand; wiped from meatus toward anus; patted area dry; applied lotion as necessary; observed for redness, swelling or discharge; disposed of waste; cleaned up; returned supplies to proper storage; removed gloves and washed hands.	Limited to general maintenance care <u>after</u> a well-established routine of care has been set forth for the client. NO CATHETERIZATION OF CLIENT ALLOWED.
C. MEDICAL GASES	Know generally accepted practice to administer medical gases.	Client specific monitored only as specifically recommended for client.	Limited to monitoring or routine assistance. Limited to oxygen only.
D. SUPPOSITOR Y	Know generally accepted practice of how to assist with	Ability to follow specific recommendations for assisting in suppository use by client. Assisted client to maintain bowel program as prescribed.	Non-prescription suppository only.



	suppository and maintain bowel program.	Prior to assisting client with suppository, hands are washed, and gloves are applied. After task is complete, removed gloves and washed hands.	
E. COGNITIVE SUPERVISION (REQUIRED FOR RESPITE CARE SUPERVISION & COMPANIONSHIP)	Know generally accepted practice of caring for cognitively impaired person, and persons who require supervision or a structured environment on a continuous basis.	Show evidence of knowledge of cognitive impairments included but not limited to Alzheimer's, Parkinson's and Multi-Infarct (dementia), as well as the unique needs of caring for a person that is so impaired. Address issues, such as care staff reaction to repetitive and/or inappropriate behavior, nonverbal communication techniques; observing for difficulty eating, chewing and/or swallowing; techniques used with wandering behavior. Show evidence of knowledge of the role of supervision and observation for a client who needs supervision continuously, except for brief periods of time, for health/safety, cognitive and/or behavioral reasons.	N/A
F. TEMPERATURE / BLOOD PRESSURE/ PULSE/ RESPIRATION RATE	Know generally accepted practice for <u>taking</u> temperature, blood pressure, pulse, and respiration rate.	Able to identify average normal adult rates. Washed hands, gathered necessary equipment (thermometer, blood pressure cuff, watch with minute hand), assisted client to sit or stand in comfortable position, obtained the measure/rate, cleaned and replaced equipment to proper storage, washed hands.	QSP will be notified by Case Manager who is to be notified of readings. (Determined in care planning)
G. COMPRESSION GARMENT OR DEVICE	Know generally accepted procedure of applying compression garment or device.	Gathered appropriate supplies: Applied compression garment or device according to manufacturer's instructions.	N/A
H. PROTHESIS/ ORTHOTICS/ ADAPTIVE DEVICES	Know generally accepted procedure for usage of prosthesis/orthotics/adaptive devices.	Is able to assist client to apply or put on prosthesis/ orthotics/adaptive devices and remove.	N/A
I. HOYER LIFT	Know generally accepted procedures	Is able to safely transfer client using a Hoyer lift or mechanical chair.	N/A

MECHANIZED BATH CHAIRS	for use of a client's Hoyer lift/mechanized bath chair.		
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## CHART D – Client Specific Endorsements

The following Client Specific Endorsements (J-N) require verification of the provider's ability to provide the service for a **specific** client who requires the endorsement.

Submit the completed SFN 830 – Request for Client Specific Endorsement **only** if the client's CM authorizes the endorsement.

- J. Ostomy Care
- K. Postural Bronchial Drainage
- L. Jobst stockings (compression stockings)
- M. Rik/Specialty Bed Care
- N. Apnea Monitoring (is available only to a provider meeting the standards for Respite Care)

APPLICABLE TO THE PROCEDURE	STANDARD	REQUIRED DOCUMENTATION or COMPETENCY LEVEL	LIMITED TO TASKS
J. OSTOMY	Know generally accepted practice of techniques for routine regimen of ostomy care.	Washed hands and applied gloves; gathered supplies needed (bedpan, towel(s), bed protector, clean ostomy bag, toilet tissue, warm water, washcloth, soap, cleanser-lubricant, cream, deodorant); assured privacy, covered client with bath towel, opened ostomy belt; replaced if dirty; removed soiled stoma bag; placed in bedpan, wiped area around stoma; washed gently entire stoma area; patted dry; applied lubricant or cream if needed; fitted clean belt and stoma bag on client; applied deodorant if desired; cleaned up; replaced all equipment and supplies to proper storage; washed hands and removed gloves.	General maintenance care which may include emptying, cleaning, and reapplying the appliance <u>after</u> a well-established routine of care has been set forth for the client.
K. POSTURAL/ BRONCHIAL DRAINAGE	Know generally accepted practice of how to perform postural/bronchial drainage.	Demonstrates the procedure for postural/bronchial drainage.	Must have received specific training from a therapist who specializes in this procedure.

L. COMPRESSION GARMENT OR DEVICE	Know generally accepted procedure of applying compression garment or device.	Gathered appropriate supplies; applied compression garment or device as directed for the client.	Routine care for chronic conditions.
M. RIK / SPECIALTY BEDS	Know generally accepted procedures for use of a client's Specialty Bed.	Is able to assist client in the use of the Specialty Bed as directed for the client.	Routine care for chronic conditions.
N. APNEA (Respite Care Provider)	Know generally accepted procedure for apnea monitoring.	Evidence of having hospital-based training equivalent to what the primary caregiver has received.	N/A

## **AFTER QSP APPROVAL**

Once approved, you will receive an approval email with your QSP number in the QSP enrollment portal.

You can also find important information on the HHS QSP [website](#). The following important instructions and resources are in the portal on your dashboard to help you understand your responsibilities as a QSP:

- Important QSP Info Packet
- Billing instruction [links](#)
- Documentation example [links](#)
- Training resources
- Links to important websites

## **Self Employed Contractor**

- As a QSP, you are not an employee HHS.
- QSPs are a self-employed, independent contractors that provide an authorized service and are paid for the authorized services that are delivered.

## **Taxes**

- HHS does not withhold or pay any social security, federal or state income tax from the payments you receive as a QSP.
- Withholding and paying taxes on QSP payments is your responsibility as a self-employed individual.
- Information on the tax responsibilities of independent contractors is available at [www.IRS.gov](http://www.IRS.gov).

## **Individual (client) choice**

- Your name and the services you enroll for may be added to a public list of available QSPs. The list is used to help individuals needing services choose their QSP.
- A QSP may choose to remain off the public list.
- If you are on the public list, an Adult & Aging Services Provider Navigator may contact you by email to see if you are available to help eligible individuals in need of the services you provide. Read through the Provider Navigator FAQ document on page 41.
- Potential clients can look for QSPs on the [public online list](#).

## **Service Authorizations or Pre-Auths**

- If you are chosen as a QSP, the Case Manager (CM) generates a Service Authorization (SA), often referred to as a Pre-Auth.
  - SA's are generated in Therap and list the authorized service(s) and task(s) you are approved and expected to provide for a specific individual.
- SA's must be reviewed and acknowledged in Therap.
  - Directions for this process are [online](#).
  - Review your SA for the following information:

- The tasks you are authorized and expected to provide.
- Effective date of authorized services.
  - Do not start services before this date.
- The maximum number of units you can provide and bill.
  - A unit may be 15 minutes, one day or one month, depending on the type of service.
- A current SA is required for each individual before providing services to be eligible for payment.
  - Do not provide services until you receive this electronic document in Therap.
  - You may not be paid if you provide services before you receive and acknowledge the SA.
- You must tell the CM if you receive an SA for a service you are not enrolled in.
  - Submit a request through the [QSP Enrollment Portal](#) to add services to your enrollment.
  - If you provide a service you are not enrolled in, payment cannot be guaranteed and you may be required to repay any payments made in error.

### **Additional Services**

To request an additional service on your QSP profile, log in to the [Enrollment Portal](#). To learn more about each service, view the [Knowledge Library](#).

Individual QSPs may be eligible to provide the following services:

Adult Day Care

Companionship

Environmental Modification

Extended Personal Care

Homemaker

Non-Medical Transportation (Escort and Driver)

Nurse Education

Personal Care

Respite Care

Respite in Adult Foster Care

Respite Home Care

Supervision

Transition Coordination

### **Electronic Visit Verification (EVV)**

- Many services require EVV; the QSP must check in and out when providing care.

- Therap is the state contracted EVV system. You will receive Therap login information from an HHS team member. Once received, send an email to Therap to start onboarding: [ndsupport@therapservices.net](mailto:ndsupport@therapservices.net).

## **Documentation Requirements and Options**

- QSPs must keep service records for 42 months from the date the services were delivered; **these records are in addition to EVV requirements** (if applicable).
- Available options to record and store documentation:
  - **Individual Support Plan (ISP) Data**
    - QSP scores task(s) for each visit and may provide comments to document the service.
    - The Therap system saves documentation for QSPs for the required 42 month period.
    - QSP does not need to keep additional documentation if using this method.
    - For more information on ISP Data:
      - [Mobile ISP Data Course](#)
      - [Acknowledge ISP Data](#)
      - [Submit ISP Data](#)
      - [Learning How to Document ISP Data Directly in Therap](#)
  - **Form documentation** using available examples
    - A downloadable PDF example and blank forms are available for QSPs to view and use to document services. Must be stored by QSP for required 42 month period, even if **your status as a QSP closes, you stop providing care to the individual, or the individual you are caring for passes away.**
    - Records cannot be copied or cloned with times, dates or months changed.
    - Example documentation is available on the [QSP website](#).
    - Records must include:
      - Name and ID # of the client
      - Name and ID # of the provider
      - Full date of the service MM/DD/YYYY
      - Location of the service
      - Start time and end time (including a.m. and p.m.)
      - Number of units of service, (use task name as listed on the SA).
      - Tasks performed (use task name as listed on the SA)
- **Failure to keep service records may subject you to legal and monetary penalties** (N.D.C.C. §50-24.8-11 and N.D.C.C. § 50-24.8-11.1)
  - Upon reasonable request, the Department, the Medicaid Fraud Control Unit, the US DHHS or their agencies, shall be given immediate access to, and permitted to review and copy all records relied on by the QSP in support of services billed to Medicaid or the State general fund programs.
  - *“A person that submits a claim for or receives a payment for a good or service under the state's Medicaid program, at the time the good or service is provided, shall create and retain records as required by rule of the department and chapter 50 - 24.8.*
  - *A person that submits a claim for or receives payment for a good or service under the state's Medicaid program which willfully fails to create records at the time the service*

*or good is provided, fails to maintain or retain the records for the length of time stated in the most current provider agreement applicable to that provider, fails to provide records when requested to do so by the department or attorney general, or destroys the records in a manner inconsistent with the most current provider agreement applicable to that provider, is guilty of a **class A misdemeanor if the value of the payments, benefits, kickbacks, bribes, rebates, remuneration, services, or claims related to the failure to create, retain, or provide records or related to the destruction of records does not exceed ten thousand dollars and a class C felony if the value is greater than ten thousand dollars.***”

### **Timely Claims Filing Requirements**

- QSPs must follow ND Medicaid Timely Claims Filing Policy when submitting claims for reimbursement. ND Medicaid must receive an original claim within one hundred eighty (180) days from the date of service.
- For more information regarding this policy, visit this [link](#).

### **Billing Tips**

- QSPs cannot provide services if the individual you provide care to (the client) is not home. Services can only be provided to individuals in their home when they are present.
- Payment can be made only for the days the client is receiving care in his or her own residence.
- Information specific to each billing code is available online
- Competency standards, CNA certificates or LPN/RN licenses must be current. Your enrollment may be suspended or closed if your competency is not updated. QSPs cannot bill or receive payment if competency standards are not current.
- QSPs cannot provide or bill for more than one service or more than one individual at a time.
  - Example 1: If you are authorized for homemaker and personal care services, you may only be clocked in and bill for one service at a time. You cannot be clocked in to provide homemaker and personal cares and bill for both services at the same time.
  - Example 2: If you are authorized to provide care to two different household members on a unit rate, you may only bill for one individual during the same time period.

### **Remittance Advice (RA)**

- When you bill for services, an RA is generated showing the payments you have received.
- You are responsible to keep copies of these documents to be used if income verification is needed for loans, housing enrollment etc.
- For help to access your RA, see instructions [online](#).

### **Recipient Liability / Client Liability / Cost Share**

- Some individuals (clients) are responsible for a portion of their service costs.
- This amount is deducted from the QSPs payment before payment is issued. The QSP must collect payment due from the individual.



- The HCBS CM, QSP Enrollment and the Claims Department are not responsible to collect the client liability/cost share from eligible individuals or assist the QSP in collecting this amount.

## **Rate Information**

### **• General Rate Information**

- QSP's may not charge the Department more than they charge private pay clients. If you plan to charge a private pay rate, you must indicate this when you enroll in the QSP enrollment portal.
- If you decide to change to a private pay rate, email QSP Enrollment at [QSPinfo@nd.gov](mailto:QSPinfo@nd.gov) to notify of the change and the new amount before you bill the changed amount.
- QSPs may choose to have a rate set at less than the standard fee for service rate per unit.
- Current rate information is available under "QSP Rates" section at this [link](#).

### **• Rural Differential Rate**

- The purpose of the Rural Differential (RD) Rate is to create greater access to services for clients who live in rural areas of ND by offering a higher rate to QSPs who travel to provide services. QSPs that travel at least 21 miles round trip to provide care to authorized individuals in rural areas will be reimbursed at a higher rate for those cares.
- QSPs are not paid for the time they drive to or from the clients' home. The RD rate may only be used for the time spent actually providing Services. QSPs may only charge the increased rate on the days of travel.
- Example: If the QSP drives to the client's community and stays over a weekend or multiple days, then returns to their own home, the QSP can only charge the increased rate on the days they actually traveled. The standard billing rate would apply for all other days.
- Only certain services are authorized under the RD and the rate is only approved on a case-by-case basis, depending on the location of the client. You may be authorized for an RD rate for one client and not another client, under the same service. Please consult your Case Manager for more details.
- If you are approved RD for a client, please be advised of the following:
  - RD billing rates are closely verified every month.
  - You can only charge the RD rate on the days you are actually traveling.
  - You are required to prove your physical address.
  - If your address changes, you must tell the CM and update your address in the QSP enrollment portal within 14 days of any address change. If you do not do this, your authorized services may be temporarily stopped and/or you may have to repay money for services provided. Your QSP enrollment status may also be closed or terminated.

- If an error is found in billing or you are unable to provide proof of your physical address when requested, **any payments made during this time may have to be paid back.**
- There are increased documentation requirements if approved for RD rates.

### **Audits, State Exclusion & OIG Referrals**

HHS is required to complete reviews of QSPs to ensure individuals are receiving the services they need and services provided meet standards set by the Department. When you enrolled as a QSP, you agreed to assist the Department in completing these reviews and you agreed to submit documentation upon request.

The Department must recover funds paid for services not delivered according to policies and procedures per ND Administrative Code 75-03-23-10. Examples for recovery reasons (not a complete list):

- Failure to keep appropriate records with necessary elements such as name, address, ect.
  - Failure to document the authorized service such as homemaker
  - If you did not provide the service
  - Inappropriate billing
  - Billing over the authorized amount or billing the wrong code
  - Photocopied records indicate records were not completed at the time of service
  - Billing for an authorized task that is utilized in an unreasonable time frame
  - Failure to comply with a request to send records or information
  - Failure to set up payment arrangements or pay back funds paid in error
  - Professional incompetence or poor performance
  - Financial integrity issues
  - Certain criminal convictions
- **Adjustments**  
If the Department finds payments were inappropriately made, we will request a refund or process adjustments to take back these funds.
    - Some examples include (this list is not all-inclusive):
      - Audit findings
      - Inappropriate services
      - Services not provided
      - Provider self-disclosure of inappropriate payments received
      - Inappropriate billing, billing over authorization or wrong procedure code
      - Inappropriate documentation / records
  - **Denials, Terminations and/or Exclusions**  
If denied enrollment or terminated as a QSP and/or placed on the State Exclusion list for any of the findings listed above (the list is not all-inclusive), you will receive a written denial/termination reason with a citation. You may also be referred to the OIG (Office of Inspector General) for possible exclusion in any capacity in the Medicare, Medicaid, and

all Federal health care programs as defined in section 1128(b)(5) of the Social Security Act.

**If excluded**, you will not be eligible to provide services to individuals whose care is reimbursed by federal health care programs such as Medicaid or by ND state funds. This does not impact your eligibility to receive Medicaid or Medicare benefits.

Once OIG receives this referral, they make an independent decision based on their own criteria if the individual will be excluded from participation in any capacity in the Medicare, Medicaid, and all Federal health care programs as defined in section 1128(b)(5) of the Social Security Act. If excluded by OIG, this means that you could not work for any organization that receives Medicare or Medicaid funds.

After exclusion, if an individual wishes to again participate as a provider in the Medicare, Medicaid and all Federal health care programs, they must apply for reinstatement and receive an authorized notice from OIG of reinstatement.

Denial or terminations may be appealed within 10 days of receiving the notice from the Department. **Send all requests to appeal a denial or termination decision to:**

Health and Human Services – Appeals Supervisor  
State Capital – Judicial Wing  
600 E Boulevard Ave, Bismarck, ND 58505

- **Formal Reviews**

A Formal Review may be requested if you disagree with any action regarding QSP reimbursement. Per ND Admin Code 75-03-23-12, to request a formal review:

- A QSP may not request a formal review of the rate paid for each disputed item.
- Send a written request within 30 days of notice of the adjustment or request for refund.
- The notice may be contained in the remittance advice or may be included in a document sent to you by the Department.
- Within 30 days of requesting a review, provide to the Department all documents, written statements, exhibits, and other written information supporting your request for review.
- The Department has 75 days to make a decision from the date we received the notice of a request for review. **Send all requests for formal reviews to:**

Health and Human Services – Appeals Supervisor  
State Capital – Judicial Wing  
600 E Boulevard Ave, Bismarck, ND 58505

### **Criminal Convictions Changes**

QSPs are required to notify QSP Enrollment **within five business days** if your conviction history changes by sending an email to [QSPinfo@nd.gov](mailto:QSPinfo@nd.gov). Failure to report changes to your conviction history may result in termination of your enrollment. If at any time, the QSP fails to meet the standards outlined, HHS will notify the QSP that they must immediately stop providing services to public pay individuals.

Any new conviction will be reviewed to determine if the QSP continues to meet standards for enrollment or may continue to provide services. Convictions, offenses and rehabilitation are reviewed and determined if they impact enrollment ND Administrative Code 75-03-23-07.

*...the department may not consider a claim that the individual has been sufficiently rehabilitated until any term of probation, parole or other form of community corrections or imprisonment without subsequent charge or conviction has elapsed, unless sufficient evidence is provided of rehabilitation.*

### **Email Address Updates**

- Make sure to keep your email address up to date in the QSP enrollment portal.
- All communication about your enrollment is sent by email, it is your responsibility as a QSP to make sure that the email address we have on file for you is current and that you check your email regularly for new information.

### **Address Changes**

- Update your address in the QSP enrollment portal within **14 days** of a change.
- If you cannot be reached by after two attempts, your status may be closed.

### **Name Changes**

- You must update your name in the QSP enrollment portal within 14 days of a change to your current, legal name. To update your name, login to the portal and upload the following information:
  - Government issued ID with new name.
  - Social Security Card with new name.
  - W-9 - Request for Taxpayer Identification Number and Certification with new name.

## CHART E- Person Centered Planning

**APPLICABLE TO ALL PROVIDERS**  
**STANDARD: Person-Centered Practices**

COMPETENCY	COMPETENCY DESCRIPTION	LIMITED TO TASKS
<b>A. Strengths-Based, Culturally Informed, Whole Person-Focused -</b> Person-centered planning recognizes that people grow, change, and can realize personally valued goals. Person-centered planning focuses on the universally valued goal of living a good life as defined by the person. All activities focus on the person as a whole (not just their diagnosis or disability) and are informed by the person's unique culture and identity.	<b>Person-Centered Thinking and Values:-</b> QSP establish rapport and trust with the person and family while supporting them to identify their vision for services and supports. <b>Strengths-Based Assessment:</b> QSP employ a strengths-based approach to assess needs and identify and facilitate access to supports that promote the person's ability to thrive now and into the future. <b>Cultural Humility and Responsiveness-</b> QSP engage with team members using an approach of respect and non-judgement of cultural differences, while practicing self-reflection.	<b>Skills and Abilities</b> <ul style="list-style-type: none"> <li>• Demonstrates empathy, being non-judgmental and upholding a person's right to choice</li> <li>• Gives different levels of support to a person ensuring the person has a voice in their support</li> <li>• Uses a variety of strategies to support a person's decision-making process and respects those decisions</li> <li>• Documents notes and assessment that reflect and acknowledge a person's goals and values.</li> <li>• Fosters connections with the person while maintaining professional boundaries.</li> <li>• Demonstrates creativity and problem-solving strategies that prioritize the person's goals</li> <li>• Demonstrate respect and avoid judgment and bias</li> <li>• Learns about a person's culture and religious beliefs, and</li> </ul>

		<p>documents these preferences so that supports and services can be tailored appropriately (without repeated conversations and inquiries)</p> <ul style="list-style-type: none"> <li>• Tailors communication to the preferences of the person/family, including understanding various ways individuals may ask for help</li> <li>• Reflect on personal and cultural bias that can impact your work to promotes sensitivity</li> </ul>
<p><b>B. Cultivating Connections Inside the System and Out</b> Peron-centered practices facilitate linkages with both paid (professional) and unpaid (natural) supports. This requires understanding of the person’s relevant health or disability issues as well as knowledge of the array of systems the person may access. All activities seek to maximize connections to natural community activities and relationships in inclusive settings wherever possible and when consistent with the preferences of the person.</p>	<p><b>Understanding System from Person’s Perspective:</b> QSP are empathetic and sensitive to past experiences in their approach to supporting the person and family to access services and supports, while honoring the self-determination and direction of the person.</p> <p><b>Integrated and Natural Supports:</b> QSP honor and respect the person’s choices and preferences as they support them to identify and access integrated supports and services that support overall well-being and quality of life.</p>	<p><b>Skills and Abilities</b></p> <ul style="list-style-type: none"> <li>• Promotes the rights and responsibilities of persons who are accessing/receiving supports and services</li> <li>• Supports the person to identify, build and/or strengthen, and maintain connections with natural supports, including family members, community activities and relationships, as outlined in the service authorization.</li> </ul>
<p><b>C. Rights, Choice , and Control</b> Relationships and practices are based on respect and the assumption that people are presumed competent and have the right to control decisions that impact their lives. Practitioners QSP support people in empowering themselves and discovering their voice in all aspects of plan co-creation and implementation. Practitioners QSP are aware of and able to educated people (when necessary and</p>	<p><b>Supporting Engagement and Advocacy:</b> QSP ensure the person is the center of the team as an active partner and empower the person to drive the process through education about their rights and responsibilities.</p> <p><b>Informed Choice and Decision-Making:</b> QSP provide access to informed decision-making supports that balance health, welfare, and safety with the person’s autonomy, self-direction, and their values and lifestyle preferences.</p>	<p><b>Skills and Abilities</b></p> <ul style="list-style-type: none"> <li>• Educates the person on what to expect throughout the process, their options and supports for leading/facilitating the process, and how their right to informed choice and decision-making regarding all aspects of the process</li> <li>• Supportively engages and empowers the person</li> <li>• Supports the person to lead their</li> </ul>

<p>desired) about the range of legal protections that promote both fundamental safety (i.e., the right to be free from abuse and neglect) and community inclusion (i.e., the right to be free from discrimination and the right to exercise freedoms).</p>	<p><b>Dignity of Risk:</b> QSP honor the person's right to experience their own success and failures while not unduly restricting choices due to potentially negative results.</p>	<p>services, ensuring the person remains the center, even if they are not willing/able to discuss their needs and/or wants</p> <ul style="list-style-type: none"> <li>• Educates the team/supporters in their role in supporting the autonomy of the person, including:</li> <li>• honoring/respecting the person's choices</li> <li>• Equip/empower the person to make choices and decisions and advocate for themselves</li> <li>• Balances a person's autonomy with the responsibility to inform and to ensure health, safety, and well-being</li> <li>• Follow safety plans to mitigate risk, including involving appropriate supports or interventions when imminent harm to self or others is present</li> <li>• Identify triggers, address concerns, and discuss coping mechanisms</li> <li>• Respectfully and openly discuss concerns</li> <li>• Documents identified risks and related safety plans</li> </ul>
<p><b>D. Partnership, Teamwork, Communication, and Facilitation</b> Interactions and meetings are facilitated in a respectful, professional manner and in accordance with person-centered principles and the preferences of each person. Ensures the primary focus remains on the priorities and perspective</p>	<p><b>Engaging and Facilitating:</b> QSP facilitate inclusive team meetings that are a safe environment for the person to express their needs, wants, and concerns. Team Dynamics, Partnerships, and <b>Collaboration:</b> QSP are cognizant of relationship dynamics as they develop and maintain collaborative, professional</p>	<p><b>Skills and Abilities</b></p> <ul style="list-style-type: none"> <li>• Promotes and practices clear and assertive communication to ensure appropriate understanding of a person's wants or needs</li> <li>• Maintains professional boundaries while maintaining client connections</li> <li>• Ensures the person is priority in all</li> </ul>

<p>of the person. Supports the person in expanding their team or circle as desired. Encourages all members to make meaningful contributions and facilitates the process in a way that is transparent and accessible to all parties involved.</p>	<p>relationships with the person and other team members.  <b>Conflict Resolution:</b> QSP identify and mediate potential conflicts in order to support the person's voice and lead to positive outcomes for the person.</p>	<p>communication and collaboration</p> <ul style="list-style-type: none"> <li>• Understands the roles, responsibilities, limitations, etc. of professionals/potential partners in other programs (and demonstrate empathy for each other)</li> <li>• Develops working relationships with professionals/potential partners in other programs</li> <li>• Maintains courteous, positive, patient interactions in tense and/or emotional situations</li> <li>• Creates and promotes a safe setting for healthy discussion that recognizes and respects the perspective of the involved parties including the person, family, supporters, provider/facility (including utilizing active listening skills, etc.)</li> <li>• Confidently sets personal boundaries and use assertive communication, while also respecting and valuing the person's experience and autonomy</li> <li>• Keeps good records</li> <li>• Reaches out for assistance in resolving conflict when needed/appropriate</li> </ul>
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<p><b>E. Documentation, Implementation, and Monitoring</b> The person-centered plan is co-created and captured in writing in a manner that adheres to established expectations around person-centered plan documentation. The plan is valued as a “living document” that is revised as needed based on the person’s preferences and evolving situation. There is responsible follow-up and monitoring of the plan’s implementation.</p>	<p><b>Exploring, Identifying, and Writing Goals:</b> QSP develop flexible and responsive person-centered plans with goals that will support the person’s defined measure of success and overall quality of life.</p>	<p><b>Skills and Abilities</b></p> <ul style="list-style-type: none"> <li>• Gets to know a person and understands their vision, preferences and available supports</li> <li>• Supports the person (and others they identify/request) to explore what is most important to them both now and in the future</li> </ul>
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Citation: LaMarche, M. and Wilson, J. (2023) Person-Centered Competencies Toolkit: Strategies for Implementation. Kansas City, MO: University of Missouri-Kansas City, Institute for Human Development, LifeCourse Nexus Training and Technical Assistance Center and Human Services Research Institute.

Questions regarding this toolkit and its development should be directed to Meghan LaMarche, MSW, MPH at the University of Missouri – Kansas City, Institute for Human Development at lamarchem@umkc.edu.

## **Fraud Waste and Abuse**

The mission of HHS is to provide quality, efficient, and effective human services, which improve the lives of people. HCBS and Medicaid provide healthcare coverage to qualifying low-income, disabled individuals, children, and families. Fraud can be committed by Medicaid providers (including QSPs) or clients. The Department does not tolerate misspent or wasted resources.

By enforcing fraud and abuse efforts:

- Providers receive the best possible rates for the services they provide to Medicaid recipients.
- Recipients are assured their out-of-pocket costs are as low as possible.
- Tax dollars are properly spent.
- Recipients receive necessary healthcare services (including HCBS).

### **What is Fraud?**

Fraud is an intentional deception or misrepresentation made by a person with the knowledge that the deception could result in some unauthorized benefit to them or some other person.

### **What is Abuse?**

Abuse is when provider practices are inconsistent with sound fiscal, business, or medical practices that result in an unnecessary cost to the Medicaid program, or in reimbursement for services that are not medically necessary or services that fail to meet professional recognized standards for healthcare.

Abuse may also include recipient practices that result in unnecessary costs to the Medicaid programs.

### **What is my role in helping prevent Medicaid fraud and abuse?**

REPORT any instance of suspected fraud or abuse.

### **Report Medicaid Fraud and Other Fraud**

Anyone suspecting Medicaid fraud, waste, or abuse is encouraged to report it.

Examples of Fraud can include:

- Billing for services not performed
- Billing duplicate times for one service
- Billing outside the allowable limits
- Billing without an authorization to provide the service

To report suspected Medicaid Fraud, call 1-800-755-2604 and ask to speak with an attendant, or email: [medicaidfraud@nd.gov](mailto:medicaidfraud@nd.gov). To report other program fraud, call the Fraud Hotline: 1-800-472-2622 or email [dhseo@nd.gov](mailto:dhseo@nd.gov).

## How do I report Medicaid fraud or abuse?

- Phone 1.800.755.2604 or 701.328.4024
- Email [medicaidfraud@nd.gov](mailto:medicaidfraud@nd.gov)
- Fax 701.328.1544
- Mail:  
Fraud Waste & Abuse Administrator  
Medical Services Division  
600 E Boulevard Ave Dept 325  
Bismarck ND 58505-0250
- Or complete the Suspected Fraud Referral (SFN 20)

To learn more about fraud and abuse, visit us [online](#).

## Qualified Service Provider (QSP) Complaints

A Qualified Service Provider (QSP) complaint is information about an issue involving a QSP that affects an individual's quality of care, health/welfare/safety, inappropriate billing, potential fraud/waste/abuse or failure to meet or maintain enrollment standards.

- Absenteeism
- Abuse/neglect/exploitation
- Breach of confidentiality
- Criminal History/Activity
- Disrespectful
- Inappropriate Billing
- Care Unacceptable to the Department
- Property Damage
- Self-Neglect
- Providing care under the influence of drugs/alcohol
- Medication errors that result in adverse effects
- Not submitting a critical incident report

## How to Submit a complaint

A complaint can be made by any person with information who suspects wrongdoing by an individual QSP, agency QSP or agency employee

## **A complaint can be made by:**

**Email:** [carechoice@nd.gov](mailto:carechoice@nd.gov)

**Phone:** ADRL 1-855-GO2LINK (1-855-462-5465)

**Mail:** Adult and Aging Services QSP Complaint  
1237 W Divide Ave; Suite 6; Bismarck ND 58501

What to do if you are notified of a QSP complaint against you...

All QSP complaints are reviewed and processed by Adult and Aging Services. If we receive a complaint about you or your agency, you will be notified by phone or receive a letter by email.

Complaint letters are emailed to the email address on file with QSP Enrollment. (Check your email regularly for correspondence from HHS.)

Follow the instructions in the letter and return phone calls promptly.

Promptly provide any additional information and documentation requested by the Complaint Administrator.

## **Electronic Visit Verification (EVV)**

QSPs use Electronic Visit Verification (EVV) to track the start and stop times of services they provide to individuals in their homes. To verify the service was provided at an authorized location and to record their time, the following devices can be used:

- Phone, Tablet, Laptop or Fixed object device (FOD) issued to QSP by HHS

Agencies and their employees must have access to one of the devices listed above to use EVV. This is necessary to check in and out when providing services, receiving service authorizations (SA's) and submitting claims electronically.

### **EVV Requirements**

EVV is used for billing and payment of services. The system became effective January 1, 2021, and usage is required by law based on the federal requirement of the 21st Century Cures Act. All QSP agencies are required to participate in an EVV system if they enroll in at least one of the services subject to EVV. All EVV claims must include EVV data if EVV is required for the service provided.

### **EVV Systems Must Verify:**

- Individual receiving the service
- Date of service
- Type of service performed

- Location of service delivery
- Individual providing the service
- Time the service begins and ends

### **Services Subject to EVV:**

Chore  
 Companionship  
 Extended Personal Care  
 Homemaker  
 Non-Medical Transportation – Escort  
 Non-Medical Transportation – Driver  
 Nurse Education  
 Personal Care  
 Respite Care  
 Supervision  
 Transitional Living

### **Services NOT Subject to EVV:**

Adult Day Care  
 Adult Residential Care  
 Assisted Living Facility – PC  
 Case Management  
 Community Support  
 Emergency Response System  
 Environmental Modification  
 Home Delivered Meals  
 Residential Habilitation  
 Respite Care – Institutional  
 Specialized Equipment  
 Supported Employment

### **EVV Resource Information**

For more information about EVV, visit:

- [HHS – Electronic Visit Verification \(EVV\) System](#)
- [HHS – Electronic Visit Verification System FAQs \(New\)](#)
- [Introduction to EVV for ND Aging Services](#)

### **Therap Resource Information**

NOTE: Therap account access is not available until you have an individual (or client) assigned to you in Therap. Until you have someone assigned review the training videos.

- **User guides for billing and EVV:** [Therap Billing and EVV Guide](#)
- **Therap EVV Onboarding (Live Weekly):** Register for the Wednesday 11 AM–12 PM CST session:  
[Therap EVV Onboarding Webinar](#)

- **Live-in QSP Setup (Recorded Training):** Live-in Caregiver Training
- **Support Materials in Other Languages:** EVV Support in Non-English Languages

### Therap process once enrolled

- After you receive a notice that you are approved as a new QSP, the QSP Enrollment team will send you an email notifying you that a Therap account has been created for you.  
**Please allow 5-7 business days to receive this information.**
  - If you do not have log in information, email [QSPresetpw@nd.gov](mailto:QSPresetpw@nd.gov) for assistance.
- You will have limited access in your newly created Therap provider account until you are ready to serve a client/individual and the HCBS Case Manager has quick referred the client/individual to your provider account. **This must be done before you can move to the next step.** In the meantime, Therap training videos and billing user guides are available on the QSP HUB
- **After** a client/individual has been quick referred, you may request training from Therap by completing the online onboarding form

Therap offers a weekly webinar for QSP titled Therap 101. See the QSP HUB calendar to see how to access the link to register for Therap101.

- Therap training, videos and billing user guides are available through the QSP HUB
- For help with Therap, submit an online support request

### Therap Password Reset

- Self-Password Reset instructions are online.
- Email [QSPresetpw@nd.gov](mailto:QSPresetpw@nd.gov) or call 1-855-462-5465 for additional password/login assistance, or if you need your Therap account unlocked.

### Using Therap to collect EVV Data

QSPs must use Therap to acknowledge service authorizations (SA's) and complete Critical Incident Reports (CIRs) using the General Event Report (GER) module within Therap. The Department also contracts with Therap to provide the EVV system, which includes billing submission and is available to QSPs free of charge. -In using Therap for EVV data collection, **you must also bill for EVV services within Therap.**

- ND Medicaid offers Health Care Claim Payment/Advice (835) Transactions with ND Health Enterprise MMIS. A SFN 583 Remittance Advice (835) Enrollment form is automatically completed/submitted for you in the Enrollment Portal with your application, indicating remittance advices be sent to Therap, Therap must receive remittance advices to track unit utilization, update claim information within their system, and to be able to provide the proper technical assistance required for any billing/claims inquiries.

### Using Therap to document services with ISP Data

See page 46 for more information on using Individual Support Plan (ISP) Data to document the services you provide, including those subject to EVV.

### Aggregator System

*What is an aggregator?*

An aggregator system is used with the EVV system to audit claims, support claims integration and help payers connect adjudication and visit verification data in real time. The Department contracts with Sandata Technologies to provide the aggregator system. The aggregator system works with EVV data from Therap.

- ND Specific Requirements for Sandata Aggregator Interface
- Sandata Aggregator Interface – North Dakota (therapservices.net)

## **Extended Personal Care – Non-Nurse & Nurse**

### **Purpose**

The purpose of Extended Personal Care Services (EPCS) is to complete tasks that are medical in nature and specific to the needs of an eligible individual.

### **Service Delivery**

Extended Personal Care Services (EPCS) and Nurse Education (NE) are provided in accordance with the Nursing Plan of Care (NPOC), developed collaboratively by the individual and the RN Nurse Educator, to meet the identified medical needs of the individual.

### **Preauthorization**

- **HCBS Case Manager (CM)** submits a written request to the EPCS Nurse Administrator (NA) to request preapproval for NE units to complete the initial NPOC.
- Once preauthorization is received from the EPCS NA, the CM will notify the EPCS NE to proceed with completing the NPOC.

### **Documentation and Approval Process**

- **RN Nurse Educator (NE) Responsibilities:** Complete and send the following forms to the CM:
  - **Nursing Plan of Care (SFN 807)**  
Completed by the RN **initially** and **every six months** during the provision of services.
  - **Comprehensive Assessment**  
A face-to-face assessment must be conducted by the RN with the individual to evaluate their current needs and update the plan accordingly.
- **Billing Service Codes**  
Appropriate billing codes must be used for each component of service delivery:
  - **S5108:** For the Nursing Plan of Care (NPOC) development and Nurse Educator training.
  - **S5115-TD:** For the nurse completing medical tasks outlined in the NPOC.
  - **S5115:** For non-nurse QSP providers completing medical tasks outlined in the NPOC.

### **Extended Personal Care Services (EPCS) Provider Training**

- The following form must be completed and reviewed by **all trained staff**:
  - **SFN 576 – EPCS Provider Training Procedure Agreement**
  - Trained non-nurse QSPs must sign their own signature on the SFN 576.
  - NE must sign their own signature on the SFN 576.
  - Documentation of the medical procedures the non-nurse QSP was trained on must be submitted with the form.
  - **Training of unlicensed EPCS providers** must be completed initially, with **annual refreshers** thereafter, to ensure continued competence and appropriate care
- NE determines if the unlicensed EPCS provider was competent to perform the medical task **at the time the training** was completed with the NE.
- NE training a non-nurse QSPs must take place with the individual present

### **Minimum Requirements**

- **NPOC** must be completed **initially** and then **every six months** thereafter.
- **Training of unlicensed EPCS providers** must be completed initially, with **annual refreshers** thereafter, to ensure continued competence and appropriate care



### **Updating NPOC**

- If there are any changes in the individual's medical orders before the scheduled six-month assessment, the RN NE must update the NPOC and send it to the CM for approval from the EPCS Nurse Administrator.

### **Allowable Service Locations**

- The individual's home, work, or other community service settings

### **Contingency Plans and Back-Up Care**

- The contingency plan must include contact information for emergency back-up care and a clear process for notifying the individual or their representative in case of provider unavailability.
- The CM and RN NE must review and update this plan at least every six months or when changes in care needs occur.

### **Non-Medical Task Limitations**

- **Exclusion of Non-Medical Tasks:** EPCS is specifically intended for tasks of a medical nature. Activities of daily living (ADLs) such as bathing, dressing, and housekeeping are **not included** in this service.

### **Reportable Incidents**

- **Incident Reporting Process:** Any incident that results in injury, medical harm, medication errors or other serious concerns related to the provision of EPCS must be reported in accordance with the **Critical Incident Reporting 525-05-42 Policy**.

### **Approval of EPCS Services and Transfers**

- **Non-Transferability of EPCS Approvals:** If an individual wishes to switch to a new Qualified Service Provider (QSP), the CM must ensure that all necessary documentation and approvals are in place before services continue. The new QSP must meet all training and competency requirements and the NPOC must be updated and re-approved.

### **Approval of NPOC**

- The NPOC will **not be approved** until all required forms are **accurately completed and signed** by the RN NE.

### **Virtual Supports Option Utilization**

- The use of virtual supports is determined on a case-by-case basis, ensuring that tasks which require direct hands-on care or pose significant health risks are excluded. **Virtual supports may be utilized only after the RN NE has evaluated the individual's needs and provided approval in the NPOC.**

## **Provider Navigator – Frequently Asked Questions (FAQ)**

### **Q: What is a Home & Community-Based Services (HCBS) Provider Navigator (PN)?**

**A:** A PN helps HCBS case managers (CM) and Community Service Coordinators (CSCs) find QSP to provide services to recipients that choose to remain in their own home and community.

### **Q: How do the PN assist the case manager (CM) and/or CSC?**

**A:** The CM and/or CSC sends a referral(s) to the PN if the recipient needing in-home services wants help finding a QSP. If the recipient already knows who they want for a QSP, the CM and/or CSC will not send a referral to the PN. This limits the number of referrals the PN receives and unnecessary emails to QSPs.

### **Q: What happens when the PN receives a referral from the CM or CSC?**

**A:** The PN:

- Will review the referral received from the CM and/or CSC for completeness, what services the recipient has been approved for, and if the recipient wants an Agency or Individual QSP.
- Sends an email to only the Agency or Individual QSPs that have signed up to provide those services and are located in the community where the recipient lives. If there are no QSPs in the community where the recipient lives, the PN will email QSPs enrolled to provide services in the county and are willing to drive.

### **Q: What happens when the QSP accepts a referral from the HCBS PN?**

**A:** When a QSP accepts a referral, the PN sends an acknowledgment form that must be filled out by the QSP before officially acquiring the referral. The acknowledgment form is letting the PN, CM and/or CSC know that you are either in community where the recipient resides and/or are willing to drive to provide the needed services.

### **Q: Why are some QSPs receiving more referrals from the PN than others?**

**A:** The PN has a spreadsheet with all the QSPs listing the counties each QSP chose when they enrolled, the services they are enrolled for and their contact information. The location of where the recipient is located determines the number of QSPs that receive an email from the PN.

- **Example:** If the recipient is located in Bowman, ND, there are a limited number of QSPs that provide services. The PN sends an email to all QSPs who selected that service area. If none of the QSPs respond within 24 hours, the PN sends an email to other QSPs willing to travel from another town (located in Dickinson and willing to drive to Bowman).
- **Example:** If the recipient is located in Fargo, ND, where there are many QSPs, the PN sends an email to a limited number of QSPs meeting the criteria of the referral, such as:
  - How many units are approved by the CM.
  - What QSPs provide all or the majority of services the recipient needs.
  - Which QSPs have already received a referral.

QSPs have 24 hours to respond back to the PN. If no responses are received in 24 hours, the PN sends an email to more QSPs. If multiple QSPs respond with a "yes" in the 24 hours that they accept the referral and have not received one from the PN, the PN sends all the "yes" responses to the CM/CSC. The CM/CSC then contacts the recipient that will be receiving in-home services to discuss all the QSPs to provide all the options, allowing the recipient to choose who they accept as their QSP.

**Q: What hours do the PN work?**

**A:** Hours of operation are **Monday – Friday; 8:00am – 5:00pm**. The PN are full-time State employees and are not available when State offices are closed during the recognized State approved 10 holidays.

**Q: How do I reach a PN?**

**A:** The PN can be reach via email at [gspnavigator@nd.gov](mailto:gspnavigator@nd.gov).

**Q: Why can't I open my email that came from the HCBS PN?**

**A:** All emails sent by the PN are secure as they contain protected health information (PHI). As a QSP, it is your responsibility to make sure you have the technology capable to open the email. Here are the instructions to open a secure email:

1. Click on "Read the message"
2. Click on "Sign in with a one-time password"
3. A one-time password will be sent to the same email the "Securemail" message was sent.
4. Enter passcode in "One-time passcode."
5. Click on continue
6. Message should appear, if not, you may need to try a different browser.

**Q: Why did another QSP receive an email with a referral from the PN and I did not?**

**A:** If you didn't receive an email from the PN with a possible referral, it may be because:

- The recipient is looking for a certain provider.
- The recipient does not care for their current provider.
- You as a QSP do not offer the services that the recipient needs.

Emails that are only sent specific QSPs by the PN cannot be shared with other QSPs. All emails are confidential and by sharing them, you as the QSP are violating HIPPA rules.

**Q: Do you as a QSP provide services in all the counties in North Dakota?**

**A:** If a QSP only provides services in a certain county, it is important that you only select the county you actually plan to provide services in when you enroll. Selecting counties where you do not plan to provide services, could put you at risk for:

- Receiving a lot of emails from the PN that are not relevant.
- Overlooking important emails because you receive so many referrals.

If the QSP only selects the counties where they actually plan to provide services, they may see an increase in emails with possible referrals because the PN may have a better understanding of exactly where you have staff and are willing to provide services.

**Q: How do I change what counties I provide services in?**

**A:** If you would like to add or remove counties from your service area, log in to your [QSP Portal account](#) to update your preferred counties.

**Q: Is a QSP guaranteed a referral from the PN?**

**A:** No. The PN cannot guarantee a QSP will receive referrals. The recipient chooses who they would like as a provider. It is important that you as a QSP also promote your services to private pay recipients as part of your business model.

**Q: What is Recipient Liability (RL)/Client Share?**

**A:** This is the amount a client must pay out of pocket for the cost of services. This amount is deducted from the QSPs payment before payment is issued. The QSP must collect payment due from the individual. For more information, refer to ND Medicaid's FAQ [page](#).

**Q: What are Service Payments for the Elderly and Disable (SPED) fees?**

**A:** This is the amount a recipient is required to pay toward the cost of their services.

**Q: Who is responsible for collecting the RL, SPED fee, or any other fees?**

**A:** It is the responsibility of the QSP to collect the RL, SPED fee or any other fees from the recipient. The State will not collect the RL, SPED fee or any other fees from the recipient on your behalf in order to receive the in-home services.

**Q: How does the QSP know if the recipient has an RL or other fees?**

**A:** The QSP is notified right away in the email sent by the PN if the recipient has an RL or other fees. The RL and other fees are written in the service authorization provided to the QSP by the CM.

**QSP Navigator Referrals**

**Important information for QSPs accepting referrals from the QSP navigators**

The Acknowledgement Form (SFN 1702) **MUST** be completed in its entirety within 24 hours of receiving it from the QSP navigators. Acknowledgement forms that are incomplete or missing information will not be accepted. Failure to submit a fully completed form within this timeframe will result in the selection of the next available provider.

## REVALIDATION

### Enrollment Revalidation

- Enrollment Revalidation is required a minimum of **every five (5) years** to maintain enrollment.
- An **email notice** of revalidation and instructions are sent to QSPs 30 days before your QSP expiration date. You must log into the enrollment portal to submit all required information and complete your revalidation **before** your expiration date.
- **It is your responsibility as a QSP to ensure you stay up to date with all notices and respond in a timely manner. Notices are only sent by email, a notice will not be sent by US mail.**
- QSPs should start this process **as soon as the notice is received** to allow enough time for processing.
- The QSP enrollment portal leads you through all information, documents and forms required for revalidation. The current version of all forms must be used. If you do not complete your QSP revalidation on time, your **status as a QSP will be closed**.
- If you have not billed the Department for QSP services in a 12-month period or are not providing services to a public paying client, **your QSP status may be closed**.

### Competency Revalidation

- QSPs must update competency every 30 months or at expiration of licensure or certification.
  - Competency must be established in one of the following ways:
    - SFN 750 – Documentation of Competency
      - If you originally enrolled by submitting this form, a new SFN 750 must be completed by a licensed healthcare provider.
    - ND Certified Nursing Assistant
      - If you originally enrolled by submitting a CNA certificate, upload renewed CNA certificate into the QSP enrollment portal
      - Note: QSP enrollment staff can no longer approve QSP hours worked towards a CNA renewal. ONLY hours worked while directly supervised by a nurse may count towards a CNA renewal. If you have questions about this standard, contact the HHS Nurse Aide Registry office at 701-328-2353.
    - ND Registered Nurse or ND Licensed Practical Nurse
      - If you originally enrolled by submitting an RN or LPN license, upload renewed licensure into the QSP enrollment portal
  - If you are found to have provided services without a validly completed form on file, you may be required to repay funds paid for these services.

- You will receive an **email notice** when this information is due. **Notices are only sent by email, a notice will not be sent by US mail.** Updated documentation will be required when requested. If you do not comply with this requirement, **your enrollment will be closed.**
- QSPs that have not claimed their account in the QSP enrollment portal **will not receive notices** about their enrollment status or tasks due. It is your responsibility as a QSP to ensure you have claimed your portal account and regularly check your email to stay updated with all notices and respond timely to any assigned tasks.

## APPENDIX

### How to obtain an NPI (National Provider Identifier) number

- Detailed, step-by-step instructions are available [here](#).

**\*\*YOU ARE STRONGLY ENCOURAGED TO SUBMIT AN  
ONLINE APPLICATION FOR TIMELY PROCESSING**

- There are two ways to obtain an NPI:
  - Web-based Application - **PREFERRED AND QUICKEST METHOD**
    - Follow this [link](#) to begin the online process.
  - Mail in a paper application – Takes 2-3 weeks to receive
    - The paper form is available on the National Plan & Provider Enumeration System (NPPES) [website](#), OMB No. 0938-0931, downloads/CMS10114.pdf.
    - Page 5 of the application contains information regarding where to send the form.
- Taxonomy Code:
  - A taxonomy code describes the type of services provided.
  - The recommend taxonomy code for the services you provide as a QSP is
  - Personal Care Attendant, **3747P1801X**.
  - If you are already enrolled to provide services with Medicaid and have an existing account/NPI number, the taxonomy code associated with the services you provide as a QSP must be added.
  - Select “Not a group”, enter code “**3747P1801X**” *in the filter*, click “**Save**”
  - Once the taxonomy code(s) and license(s) are added to the application, one taxonomy code must be identified as being the Primary Taxonomy
  - Select the checkbox to the left of the applicable taxonomy code.
  - If only one taxonomy code has been entered on the application, NPPES will default this taxonomy code as the Primary Taxonomy

### APS- Vulnerable Adult Protective Services

Vulnerable Adult Protective Services (APS) report must be filed to report any incident involving abuse, neglect or exploitation of an individual receiving services. A QSP who is with an individual receiving services and is involved, witnesses or responds to an event that is a reportable incident, is required to report it.

Additional information and steps on making an report can be found on [SHOULD I REPORT?](#)

## **What is a Critical Incident Report?**

A critical incident is “any actual or alleged event or situation that creates a significant risk of substantial or serious harm to the physical or mental health, safety or well-being of a program participant.”

QSPs are required by federal law to report critical incidents involving people they care for. .

- **Incidents to be reported are:**
  - Abuse (physical, emotional, sexual), neglect, or exploitation
  - Rights violations through omission or commission, failure to comply with the rights to which an individual is entitled as established by law, rule, regulation or policy
  - Serious injury or medical emergency, which would not be routinely provided by a primary care provider
  - Wandering or elopement
  - Restraint violations
  - Death of a client and cause (including death by suicide)
  - Report of all medication errors or omissions
  - Any event that could harm client’s health, safety or security if not corrected
  - Changes in health or behavior that may jeopardize continued services
  - Illnesses or injuries that resulted from unsafe or unsanitary conditions
- **How to Submit a Critical Incident Report:**
  - Within 24 hours of learning or knowing of the incident, the QSP is required to:
    - **Step 1:**
      - Report it to the HCBS Case Manager (CM) **and**
    - **Step 2:**
      - Fill out a critical incident report using the General Event Report (GER) module within the Therap case management system.
    - **Step 3:**
      - If the QSP does not have access to Therap, the GER offline forms will be used to complete the critical incident report. The completed forms are then sent to the HCBS CM.
      - The offline forms are available [here](#).
      - The GER Event Report along with the GER Event Type form (e.g. medication error, injury, etc.) are completed and submitted together.
      - Contact the HCBS CM if you need assistance filling out the form. The completed critical incident needs to be entered into the Therap system or the GER offline form needs to be sent to the HCBS CM within 24 hours of the incident.
    - **Step 4:**
      - The HCBS CM and program administrator will receive the incident report once it is submitted for review in Therap. If the GER offline form is used, the HCBS CM will fax the form to (701) 328-4875 or email: [dhscbs@nd.gov](mailto:dhscbs@nd.gov). The Program administrator will then enter the GER Event Report and Event Type into Therap.



- If an incident involves abuse, neglect or exploitation, a provider must submit **both**, the incident report **and** report to VAPS.
  - See section above for instructions to submit a VAPS report.
- **Critical Incident Examples:**
  - **Example 1:** If a client falls while the QSP is in the room, but the client didn't sustain injury or require medical attention, a critical incident report is not required.
  - **Example 2:** If a family member informs the CM that a client is in the hospital due to a stroke, a critical incident report is required because the CM or the facility was made aware of the ER visit and/or the hospital admission.
  - **Example 3:** If a QSP comes to a client's home and the client is found on the floor and the QSP calls 911 so the client may receive medical attention, a critical incident report is required because the client required medical attention AND the QSP was notified and aware of the event.
  - **Example 4:** If a QSP is present while the client is participating in illegal activity (e.g. drug use), a critical incident is required as the behavior may jeopardize services.
  - **Example 5:** If the QSP finds bed bugs in the client's bed and notices the client has bug bites resulting in the need to seek medical attention, a critical incident would be required as this is an unsanitary condition resulting in illness or injury.

**Remediation Plan** A remediation plan must be developed and implemented for each incident except for death by natural causes. The Department will be responsible to monitor and follow up as necessary to assure the remediation plan was implemented.

- The remediation plan must include:
  - Corrective actions taken
  - Plan of future corrective actions
  - Timeline to complete the plan if applicable.

The HCBS CM and program administrator are responsible to follow up with the QSP to ensure the remediation plan is acceptable

### **HCBS Recipient's Right of Privacy, Dignity and Respect, and Freedom from Coercion and Restraint:**

Individuals receiving HCBS have a right of privacy, dignity, and respect when receiving services. The use of coercion, seclusion, and restraint of recipients in all settings with the exception of the limited use of restraints in adult residential service settings as described in NDCC 50-10.2-02(1) is prohibited.

## **Guidelines for Universal Precautions**

- **Handwashing:**

- Before, during and after preparing food.
- Before eating food.
- Before and after caring for someone who is sick with vomiting or diarrhea.
- Before and after treating a cut or a wound.
- After using the toilet.
- After changing incontinent care products.
- After blowing your nose, coughing, or sneezing.
- After touching an animal, animal feed or animal waste.
- After handling pet food or pet treats.
- After touching garbage.
- After you have been in a public place and touched an item or surface that is touched by other people.
- Before touching your eyes, nose, or mouth.
- When hands are visibly soiled.
- Immediately after removal of any personal protective equipment.  
(Example: gloves, gown, masks)
- Before and after providing any direct personal cares.
- **Follow these steps when wash your hands every time.**
- If soap and water are not available:
  - Use and alcohol-based hand sanitizer that contains at least 60% alcohol.
- Follow these steps when using hand sanitizer:
  - Apply the gel product to the palm of one hand in the correct amount.
  - Rub your hands together.
  - Rub the gel all over the surfaces of your hands and fingers until your hands are dry, which should take around 20 seconds.
  - Once you are back on-site, ALWAYS wash your hands for 20 seconds with soap and water.

- **Personal Protective Equipment (PPE) use:**

- Gloves
  - **Wear when touching blood, body fluids, secretions, excretions, and soiled items like linens, incontinence products, etc.**
  - Perform hand hygiene prior to putting on gloves
  - Remove jewelry; cover abrasions then wash and dry hands
  - Ensure gloves are intact without tears or imperfections
  - Fit gloves, adjusting at the cuffs
  - Remove by gripping at cuffs
  - Immediately dispose of gloves in waste basket
  - Wash hands after removing gloves

- Replace gloves after sneezing, coughing, touching or the hair or face, or when contaminated
  - DO NOT reuse gloves, they should be changed after contact with each individual
- 
- Gowns
    - **Wear during cares likely to produce splashes of blood or other body fluids.**
    - Fully cover torso from neck to knees, arms to end of wrists, and wrap around the back.
    - Tie all the ties on the gown behind the neck and waist.
    - Untie or unsnap all ties or buttons. Some ties can be broken rather than untied. Do so in a gentle manner, avoiding a forceful movement.
    - Reach up to the shoulders and carefully pull gown down and away from your body. You may also roll the gown down your body.
    - Dispose the gown in waste basket.
    - Perform hand hygiene after removing gowns.
- 
- Masks
    - Follow community guidance for source control based on community transmission of respiratory viruses.
    - Clean hands with soap and water or hand sanitizer before touching the mask.
    - Secure ties or elastic bands at middle of head and neck.
    - Fit flexible band to nose bridge.
    - Fit snug to face and below chin.
    - With clean hands, untie or break ties at back of head.
    - Remove mask by only handling at the ties, then discard in waste basket.
    - Wash hands.
    - Homemade masks can be used as a last resort; these should be washed/disinfected daily.
    - DO NOT reuse face masks.
- 
- Goggles/Face Shields
    - Used to protect the eyes, nose and mouth during patient care activities likely to generate splashes or sprays of body fluids, blood, or excretions.
- 
- Additional Resource: Type and Duration of Precautions Recommended for Selected Infections and Conditions
  - QSPs are responsible for supplying their own personal protective equipment (PPE).
- 
- **Sharps:**
    - Prevent injuries from used equipment like needles and other sharp instruments or devices during cares provided.
      - Do not recap needles or remove needles from syringe.
      - After use, place disposable syringes and needles and other sharp items in a puncture-resistant container for disposal.
      - Clean any equipment used for the individual before and after each use.

### **Fire Safety Checklist for Caregivers of older Adults**

A fire safety checklist for caregivers of older adults focuses on installing and maintaining smoke/CO alarms, creating and practicing a clear escape plan (with two ways out, meeting spot, accessible aids like glasses/hearing aids/phone by bed), ensuring clear exits, and practicing safe habits with cooking, heating, candles, and smoking, especially around medical oxygen, to prevent fires and ensure quick, safe evacuation for seniors who might move slower or have hearing loss.

For more information, refer to Fire Safety Checklist for Caregivers of Older Adults

### **The Invisible Killer**

Carbon Monoxide (CO) is the invisible killer. Carbon monoxide is a colorless and odorless gas. Every year more than 100 people in the United States die from unintentional exposure to carbon monoxide associated with consumer products.

For additional information, refer to [Carbon Monoxide the Invisible Killer](#)